

Waterloo  
Density Matrix Renormalization Group  
**WINTER SCHOOL**

# Introduction to the Density Matrix Renormalization Group

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Centro de Supercomputación de Galicia



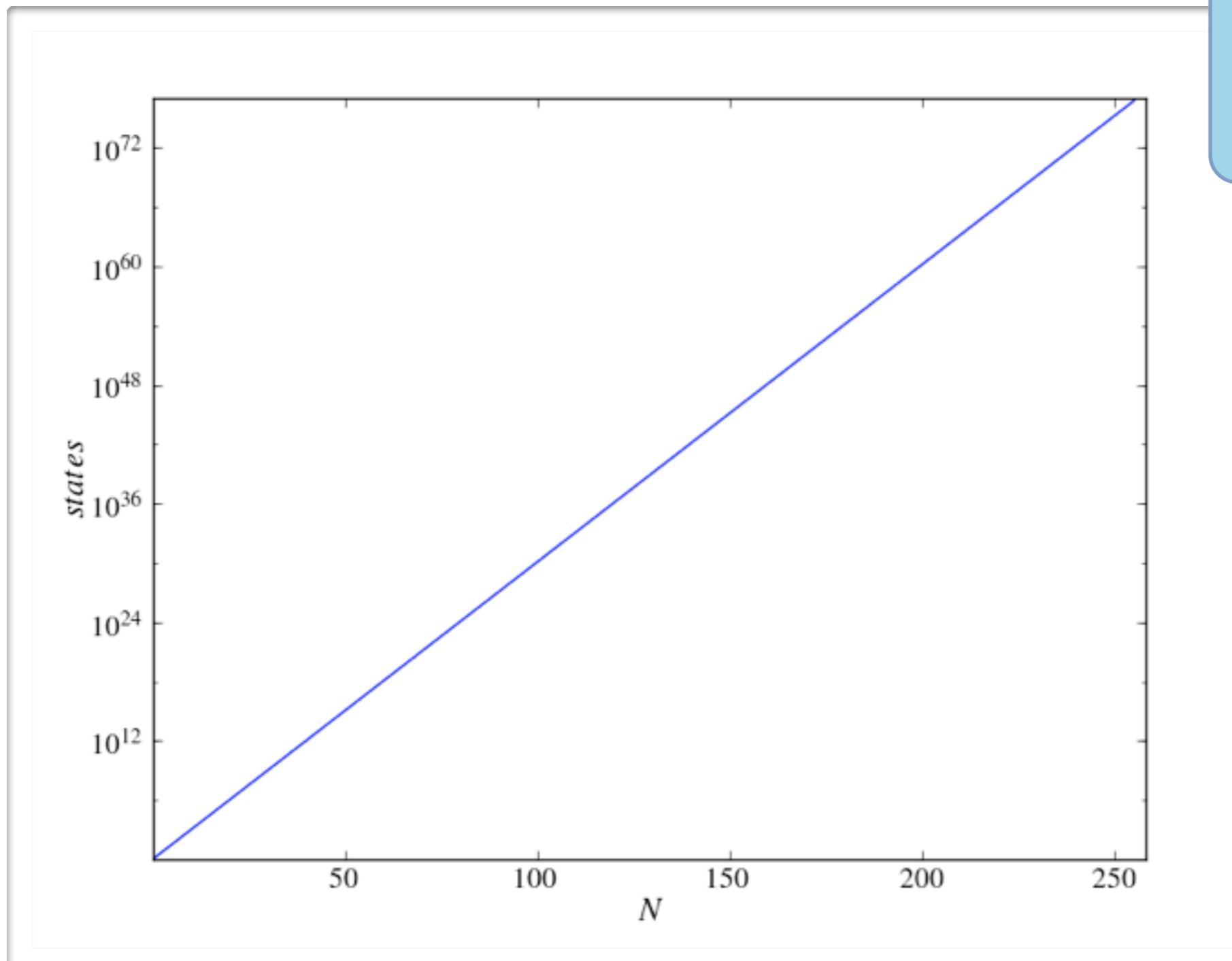




# I. The problem

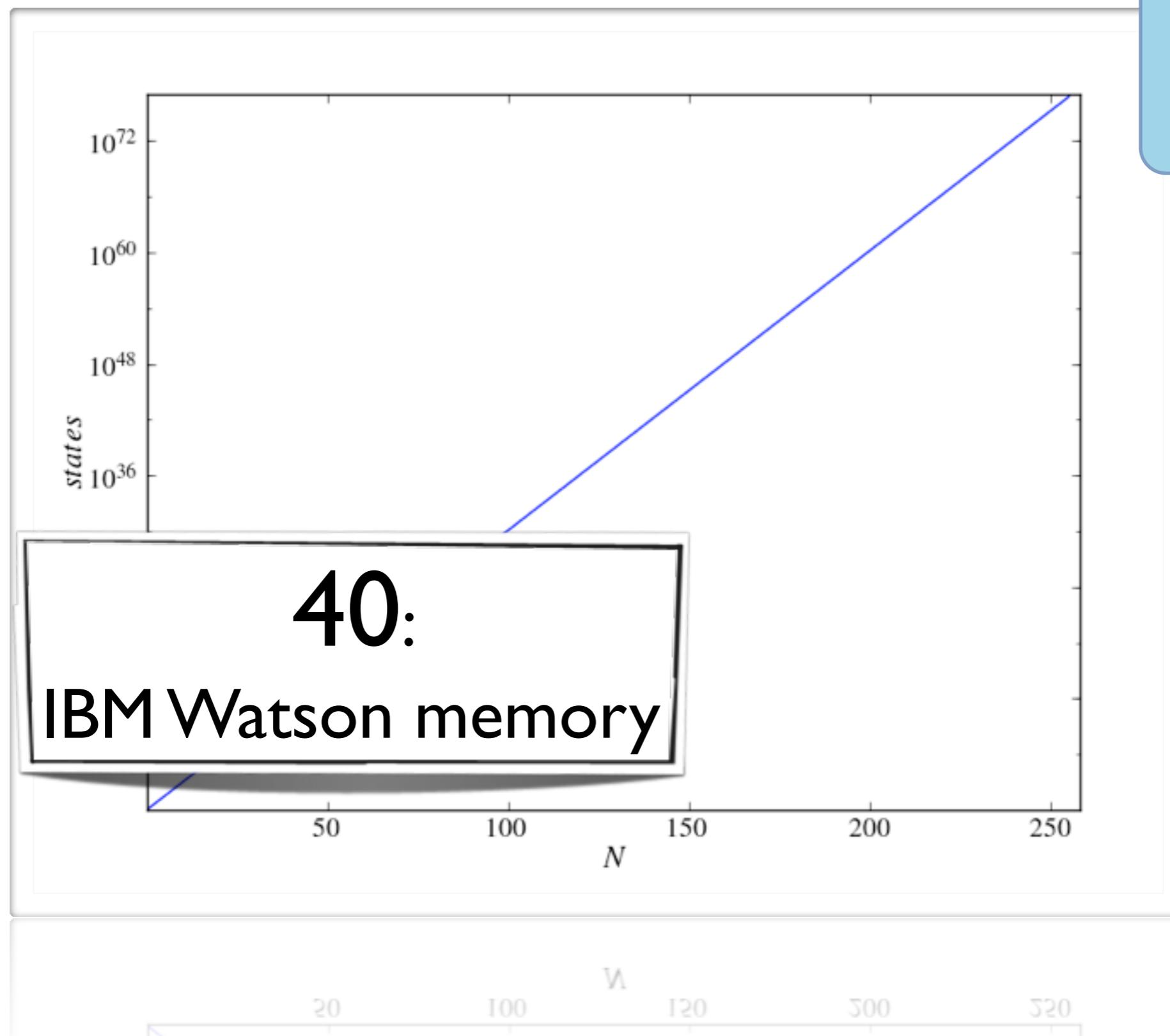
- What is the problem?
- Who is involved?
- What are the causes?
- What are the effects?
- What are the constraints?
- What are the opportunities?

# Hilbert space of spin systems

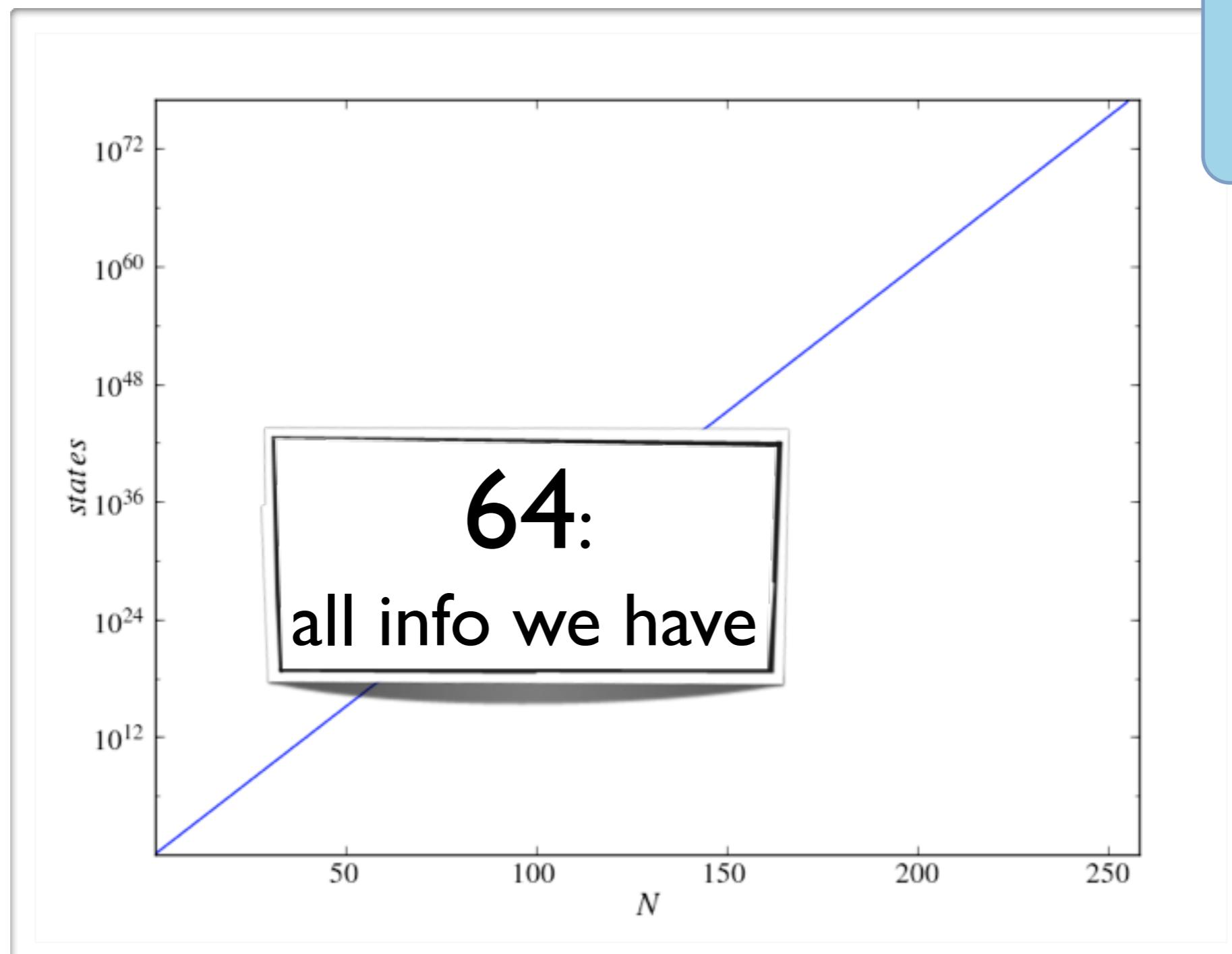


$2^N$

# Hilbert space of spin systems

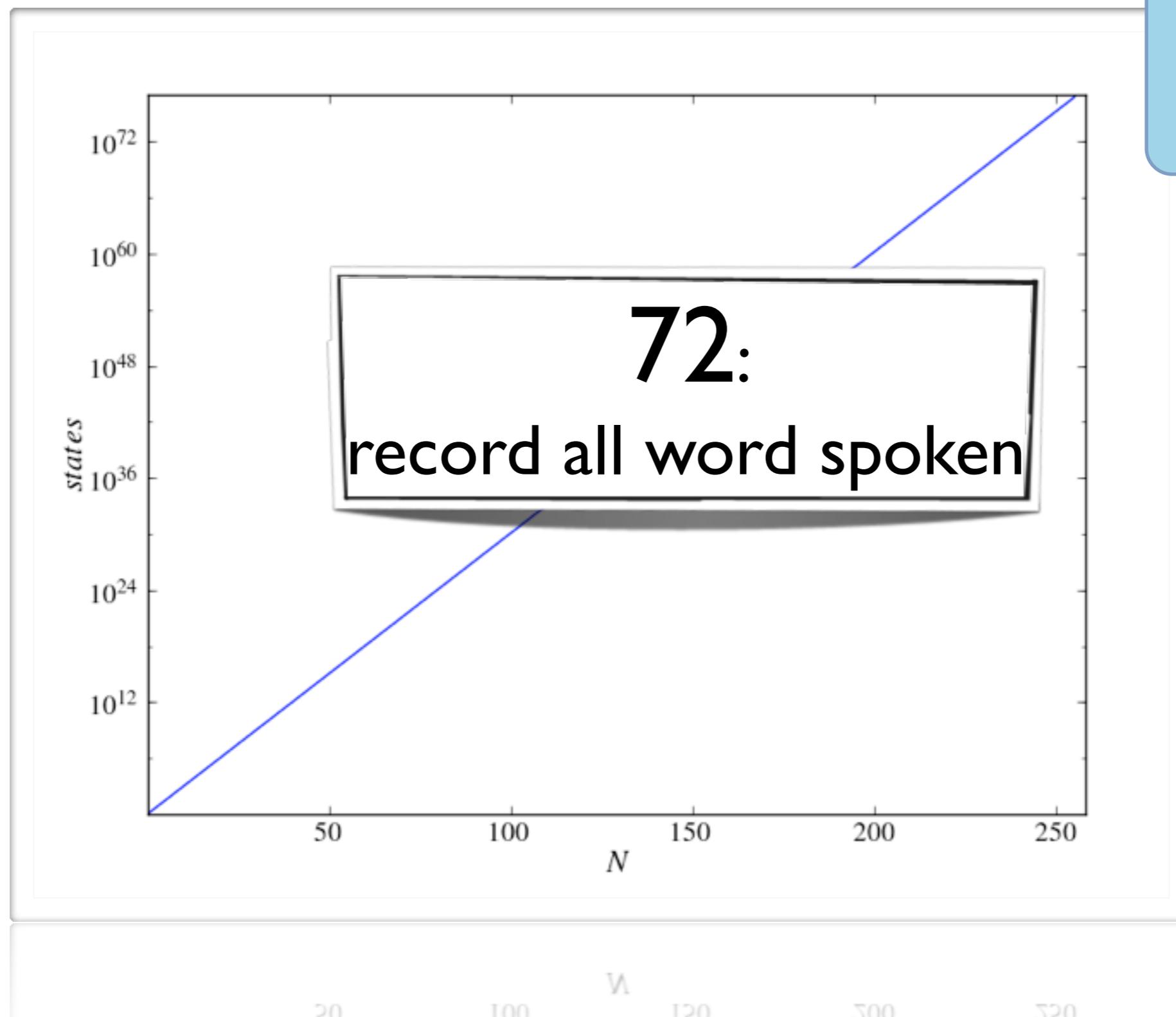


# Hilbert space of spin systems

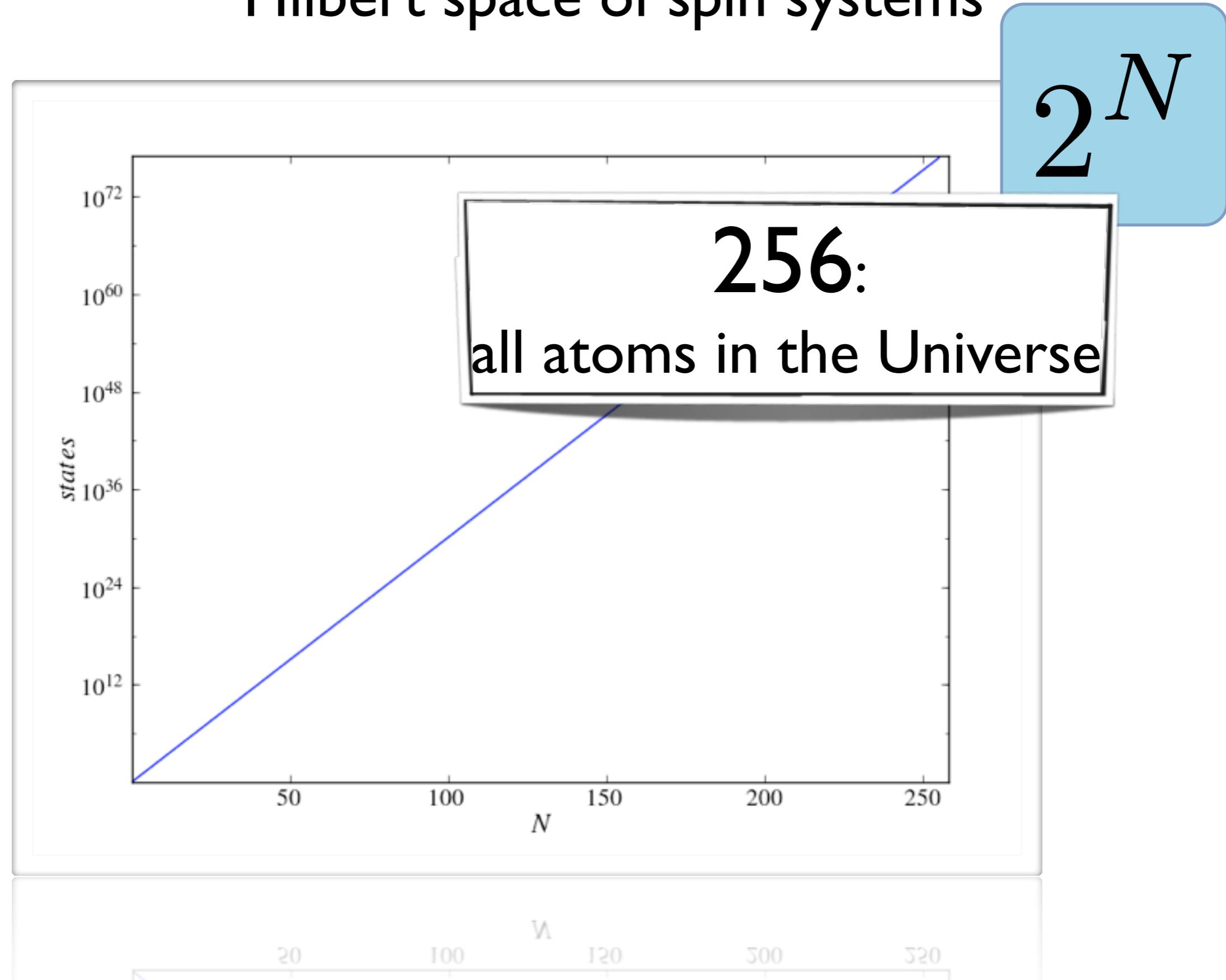


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# Hilbert space of spin systems



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# Dealing with huge Hilbert spaces

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- ED: Ignore and solve small

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- QMC: Importance sampling

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- ED: Ignore and solve small
- QMC: Importance sampling
- RG: Truncate

There are some states of some systems for which the relevant piece of the Hilbert space is small

There are *some* systems for which the relevant piece of the Hilbert space is small

*ground states!*

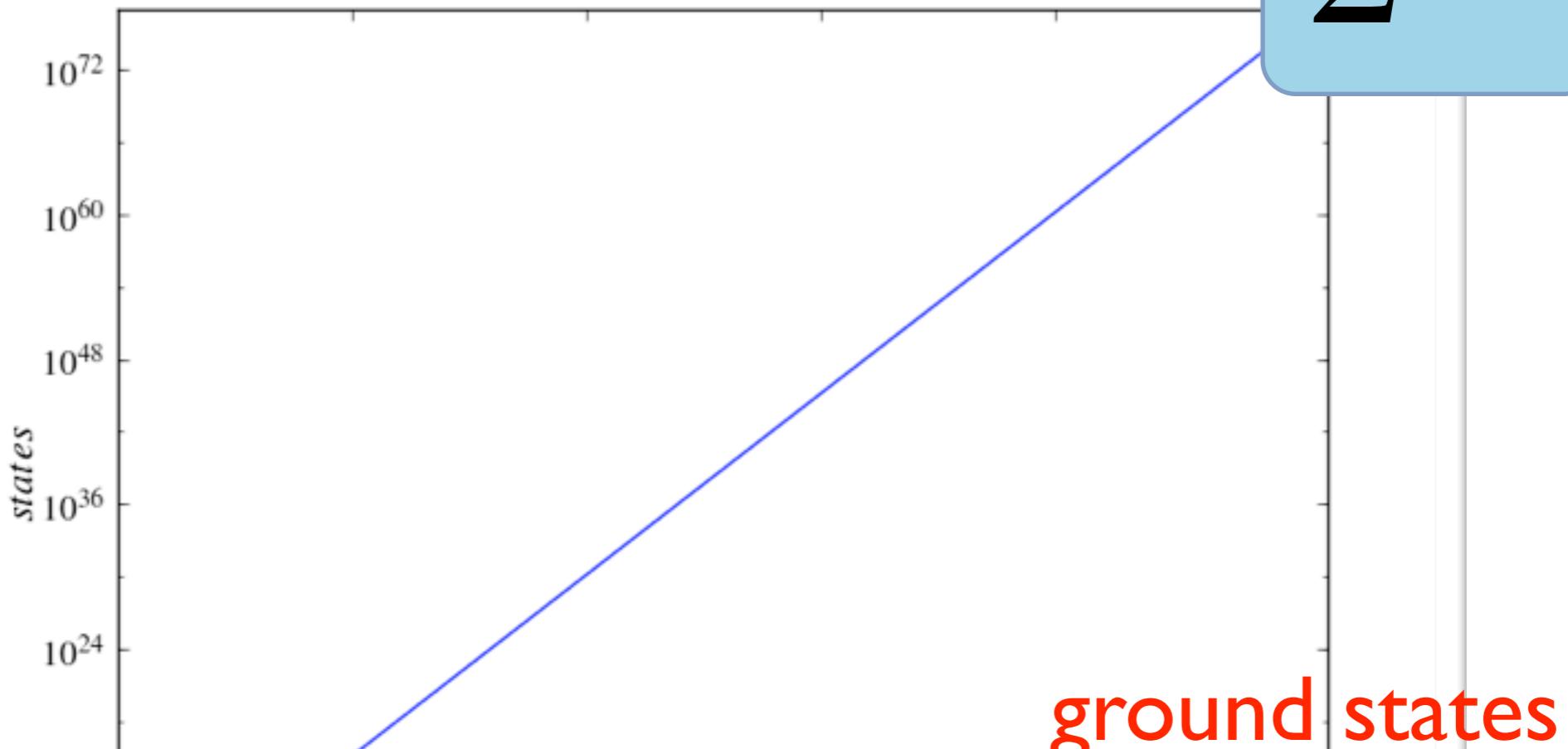
There are **systems** of some **systems** for which the  
relevant piece of the Hilbert space is small

*ground states!*

*relevant*

There are ~~some~~<sup>ground states!</sup> systems for which the  
relevant piece of the Hilbert space is ~~small~~<sup>relevant</sup>  
~~tiny!~~

$2N$



$\mathbb{W}$

20 100 120 500 520

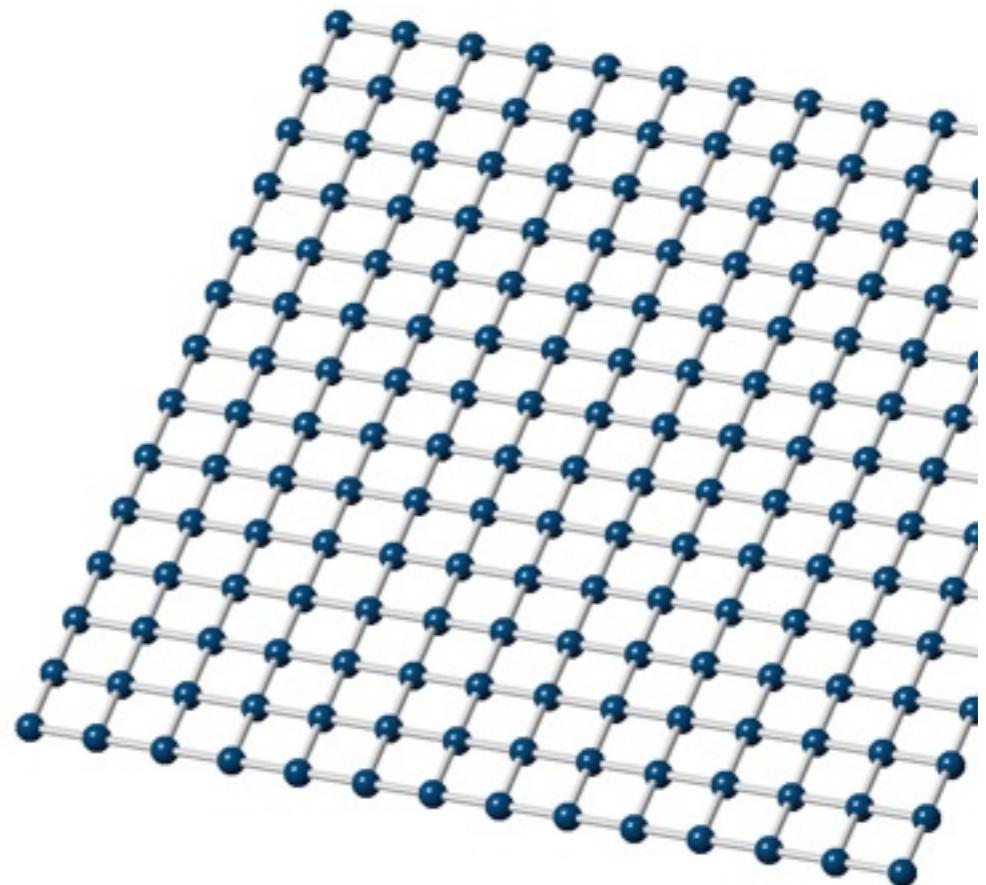
**DMRG finds this small piece of the Hilbert space**

DMRG finds this small piece of the Hilbert space

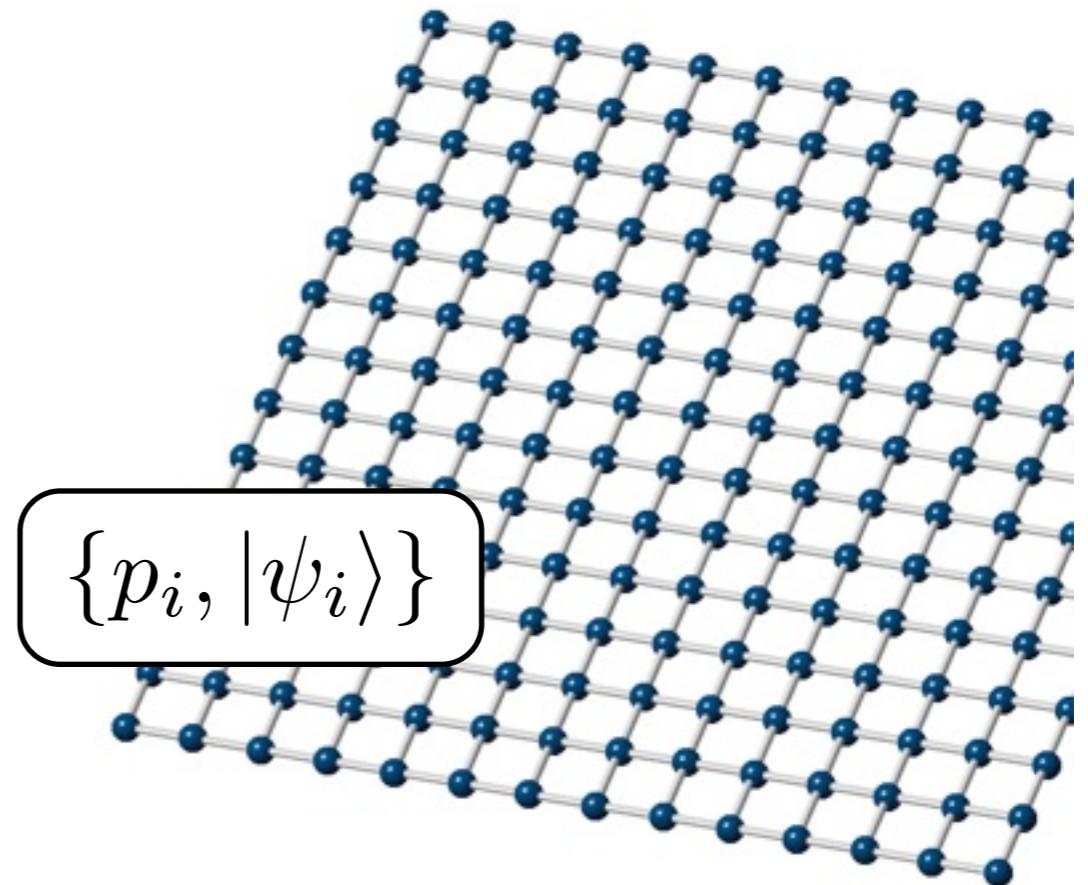
(so you can use ED to solve the problem)

## II. The Basics

# Density Matrix operator

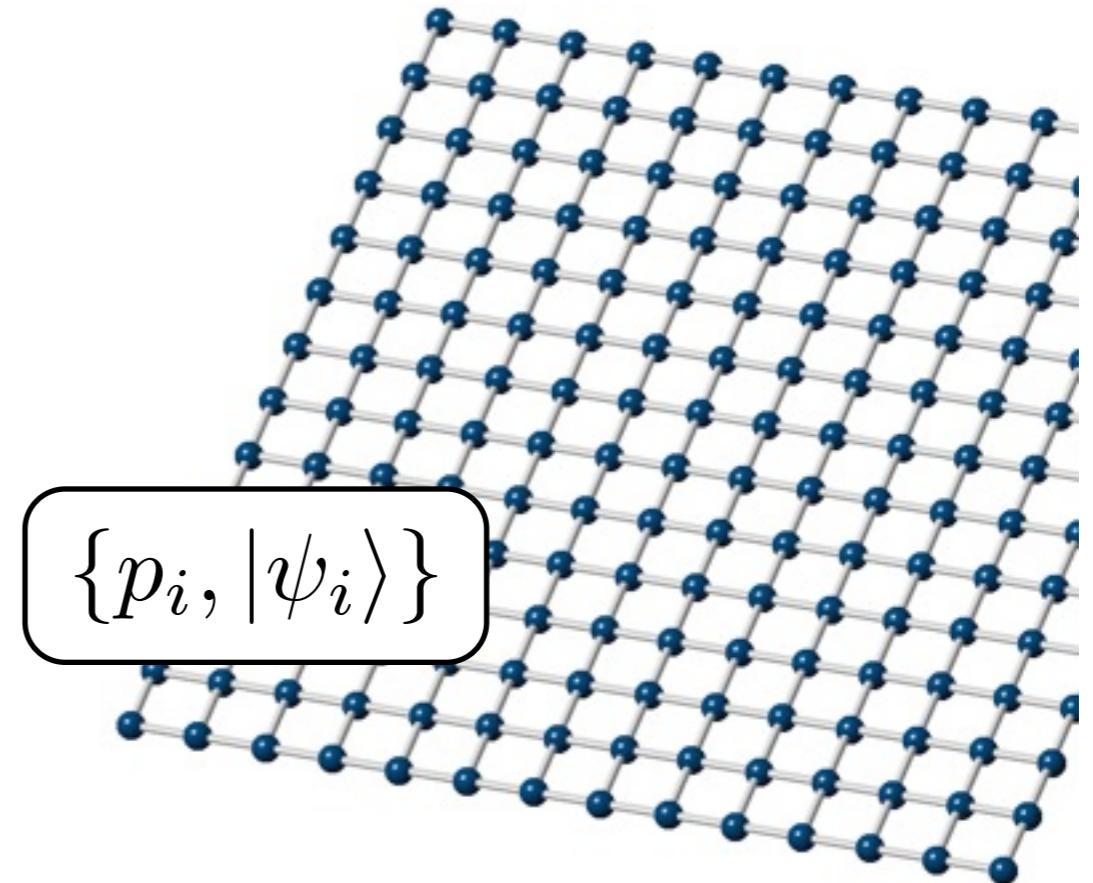


# Density Matrix operator



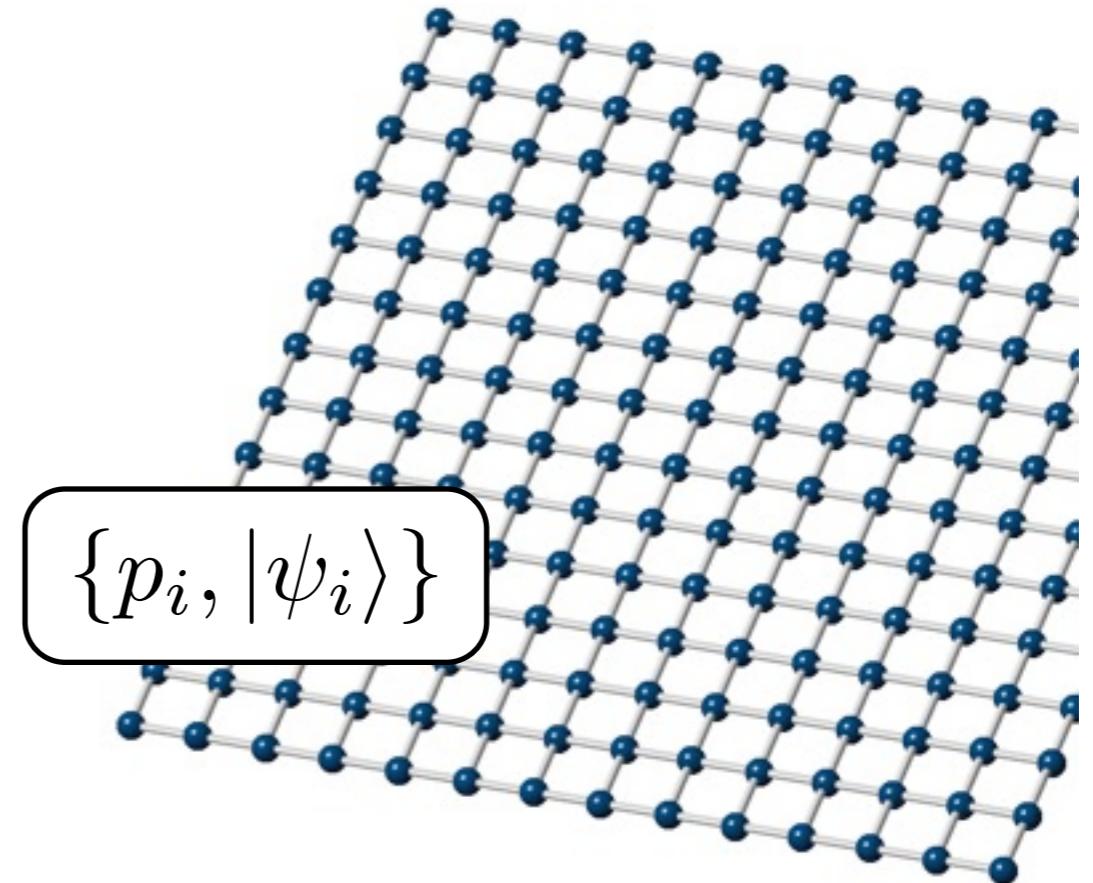
# Density Matrix operator

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$



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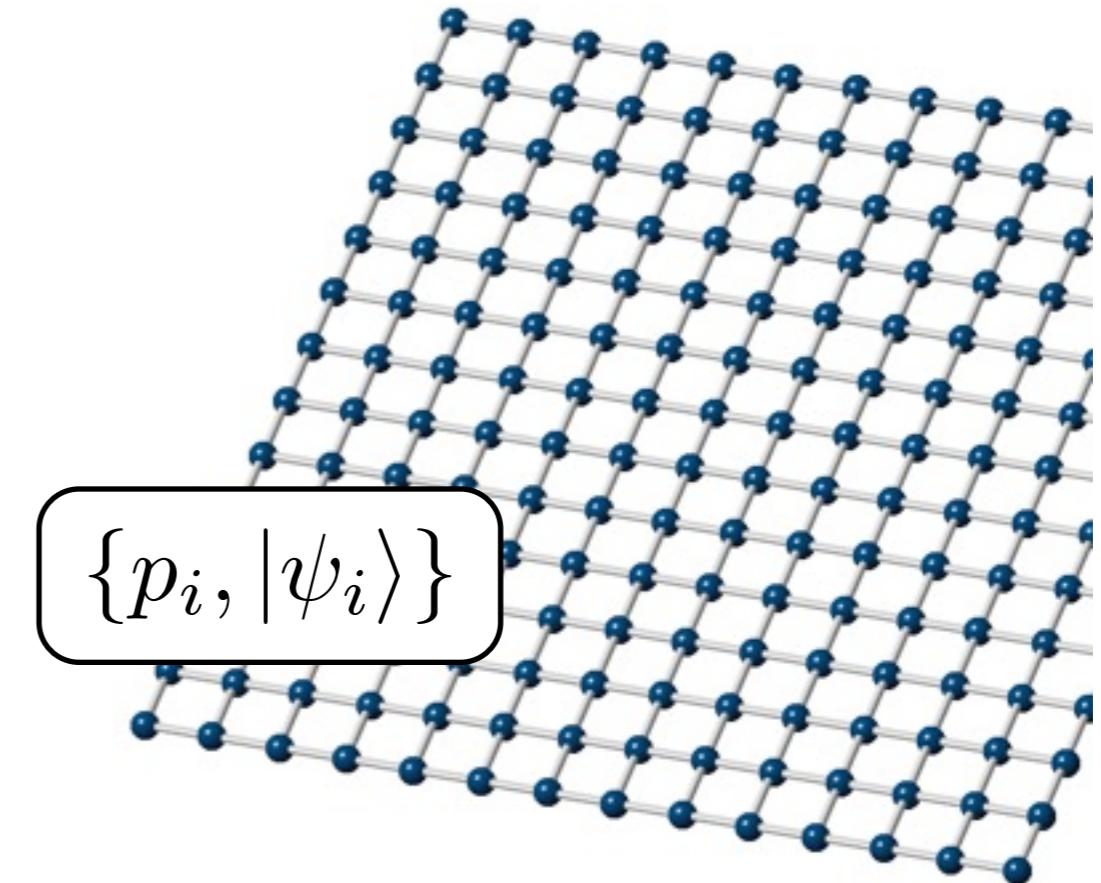
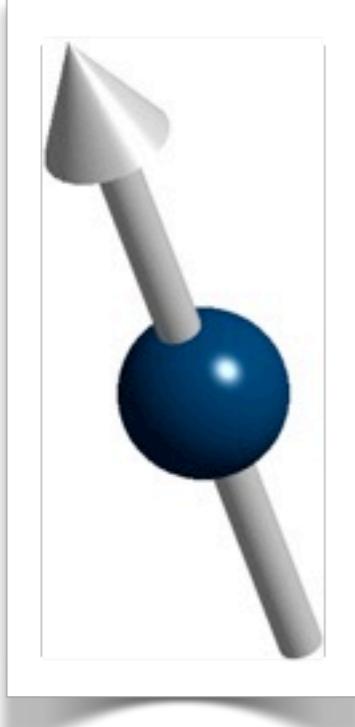


Observables:

$$\langle \mathcal{O} \rangle = \text{tr}(\rho \mathcal{O}) = \sum_i p_i \langle \psi_i | \mathcal{O} | \psi_i \rangle$$

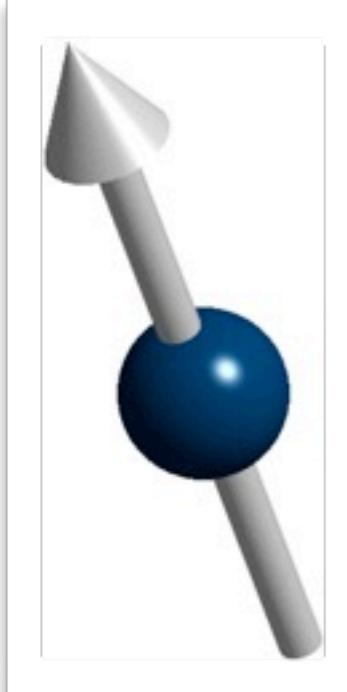
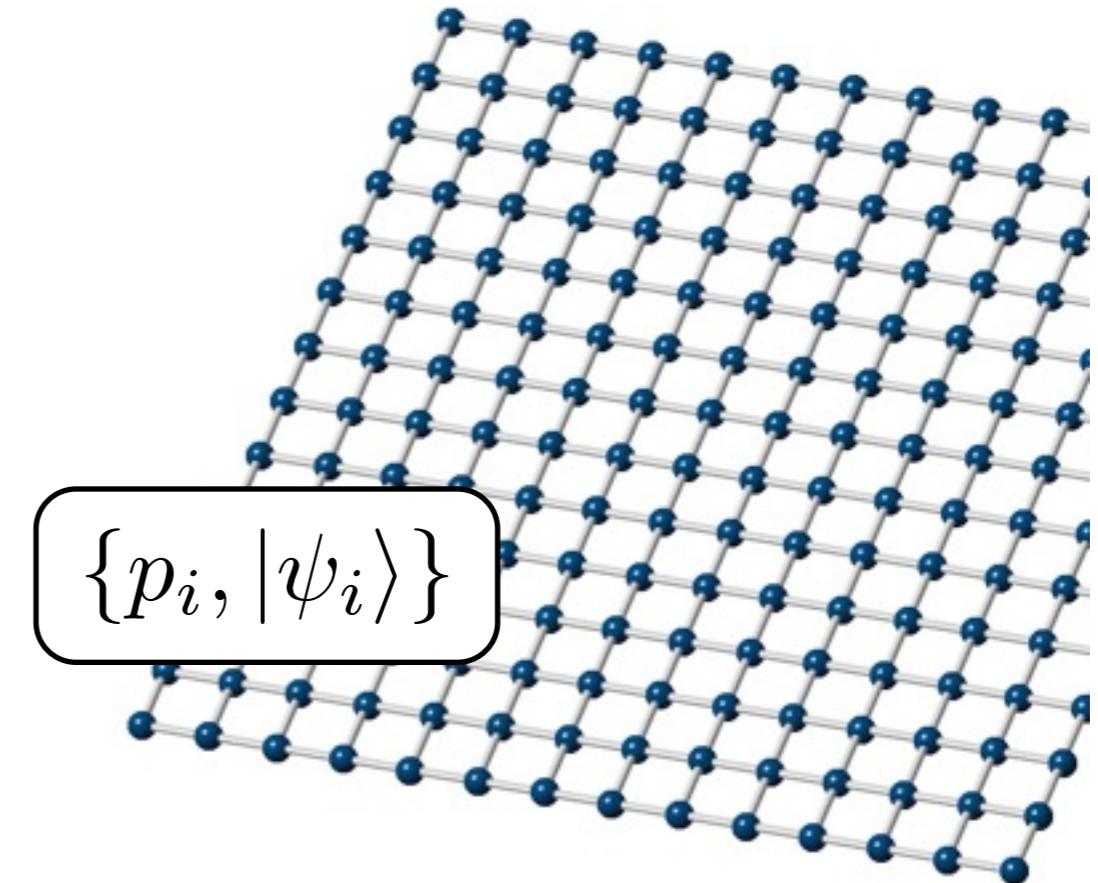
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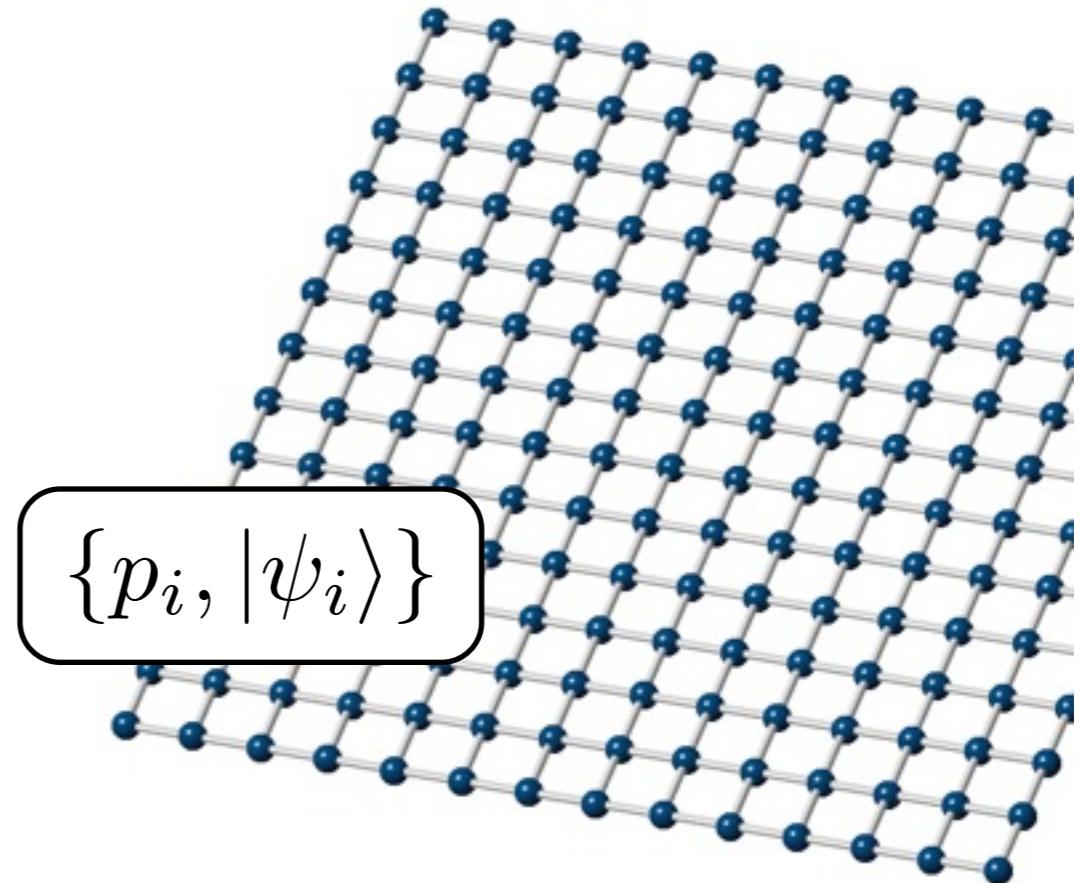
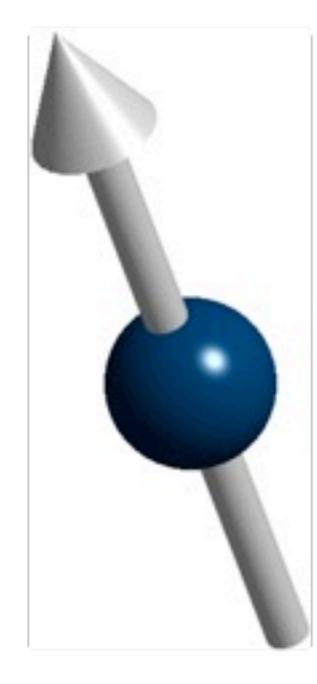
$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$



$$|\psi\rangle = \frac{1}{2} (|\downarrow\rangle - \sqrt{3}|\uparrow\rangle)$$

# Density Matrix operator

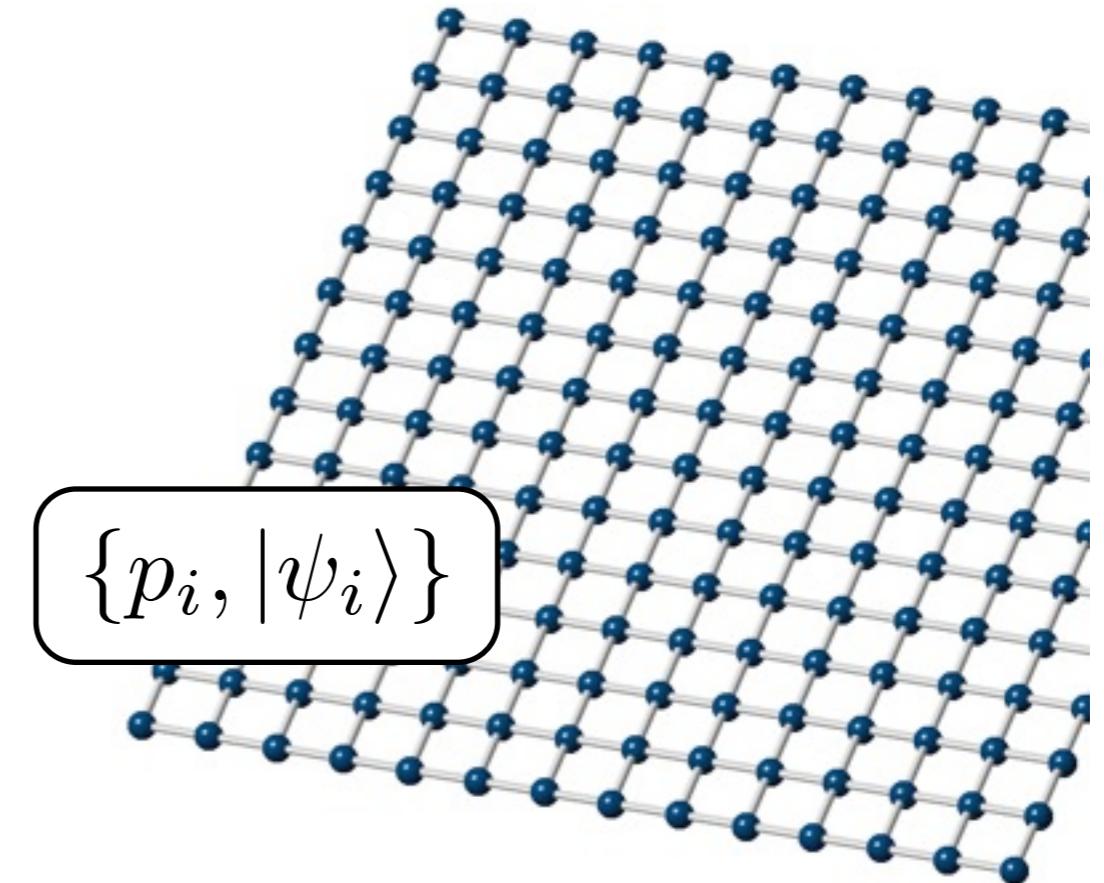
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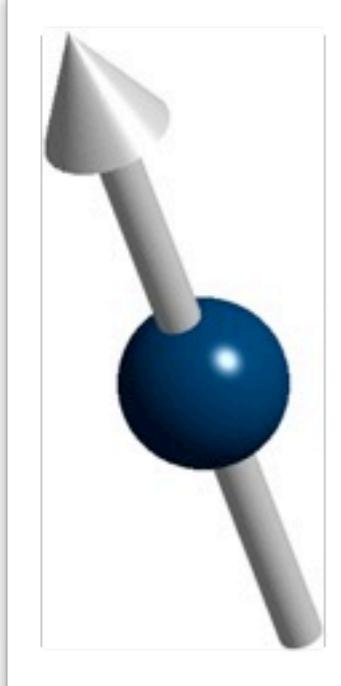
$$\rho = |\psi\rangle\langle\psi| = \frac{1}{4} \left( |\downarrow\rangle - \sqrt{3}|\uparrow\rangle \right) \left( \langle\downarrow| - \sqrt{3}\langle\uparrow| \right)$$

# Density Matrix operator

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$



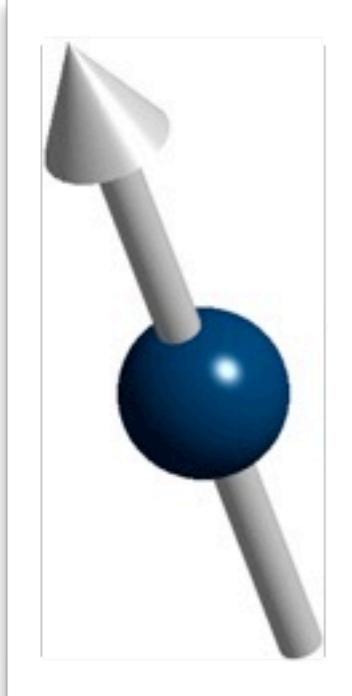
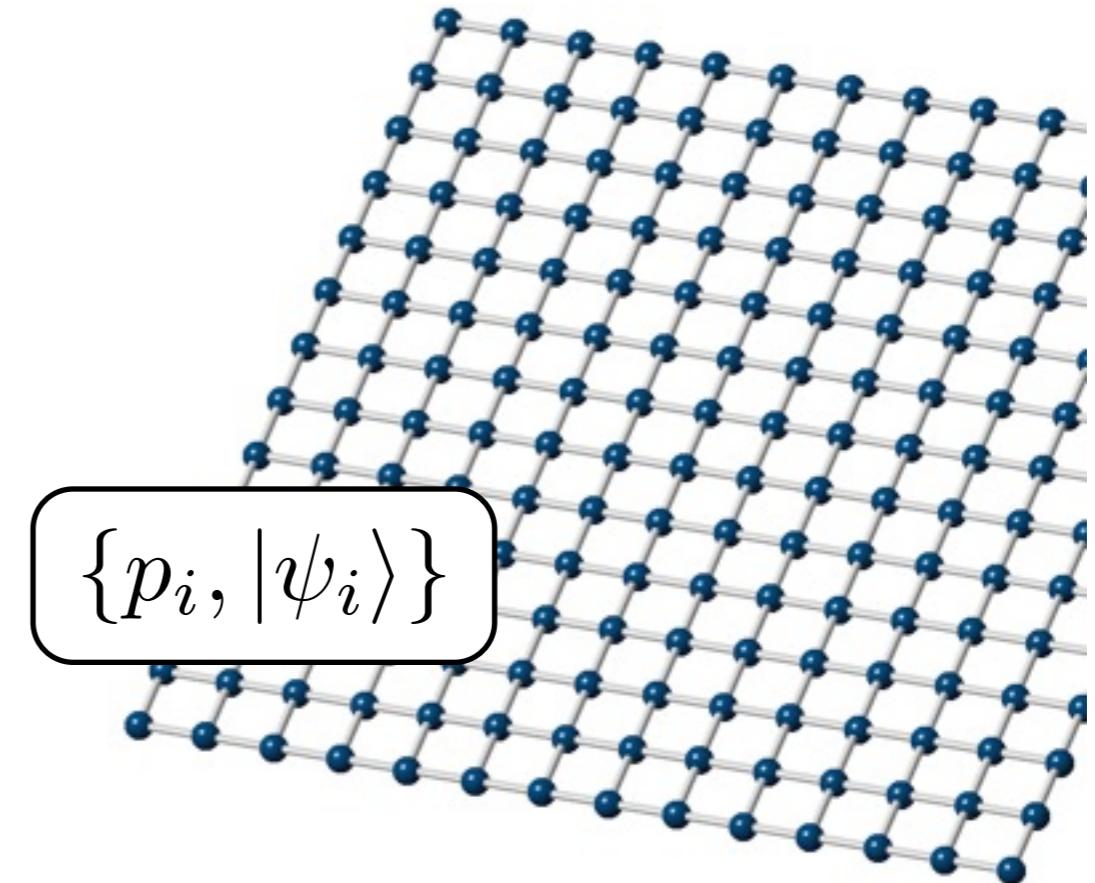
$\{p_i, |\psi_i\rangle\}$



$$= \frac{1}{4} \left( |\downarrow\rangle\langle\downarrow| - \sqrt{3}|\downarrow\rangle\langle\uparrow| - \sqrt{3}|\uparrow\rangle\langle\downarrow| + 3|\uparrow\rangle\langle\uparrow| \right)$$

# Density Matrix operator

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$



$$\rho = \frac{1}{4} \begin{pmatrix} 1 & -\sqrt{3} \\ -\sqrt{3} & 3 \end{pmatrix}$$

# Density Matrix characterization

$\rho$  is a DM iff:

- $\text{tr} \rho = 1$
- $\langle \psi | \rho | \psi \rangle \geq 0 \quad \forall \psi$

# Density Matrix characterization

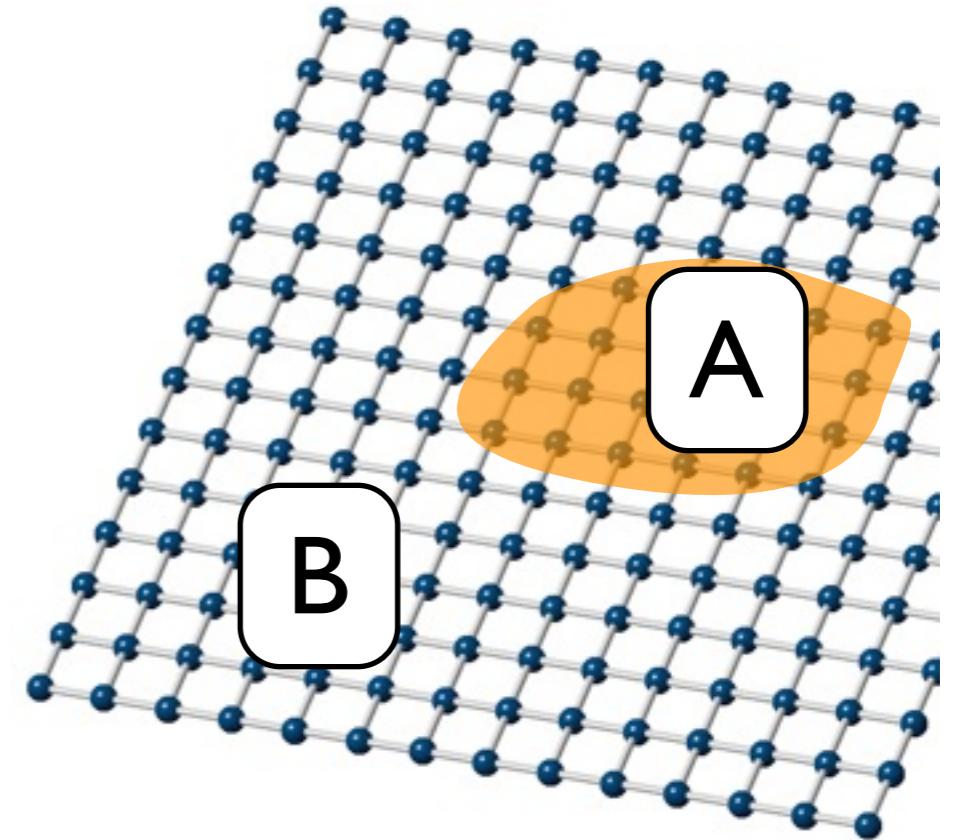
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# Reduced density matrix

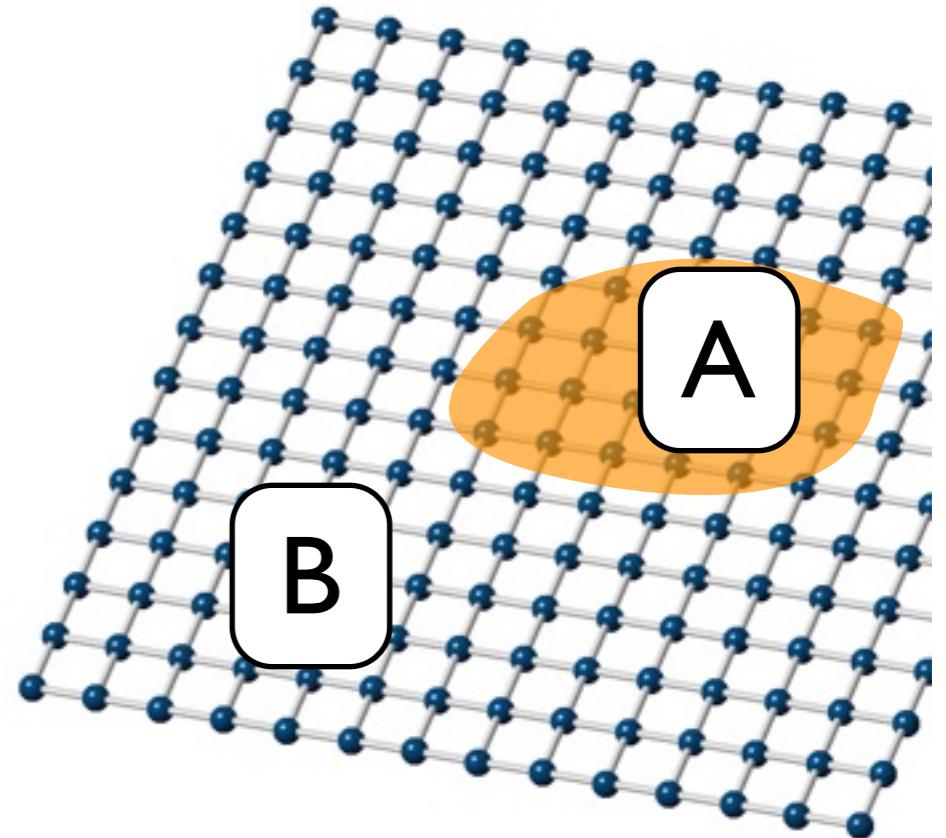
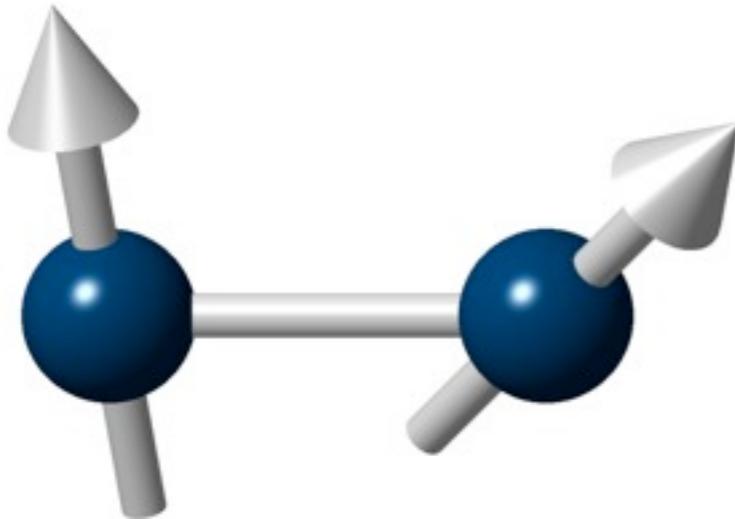
$$\rho_A = \text{tr}_B(\rho_{AB})$$



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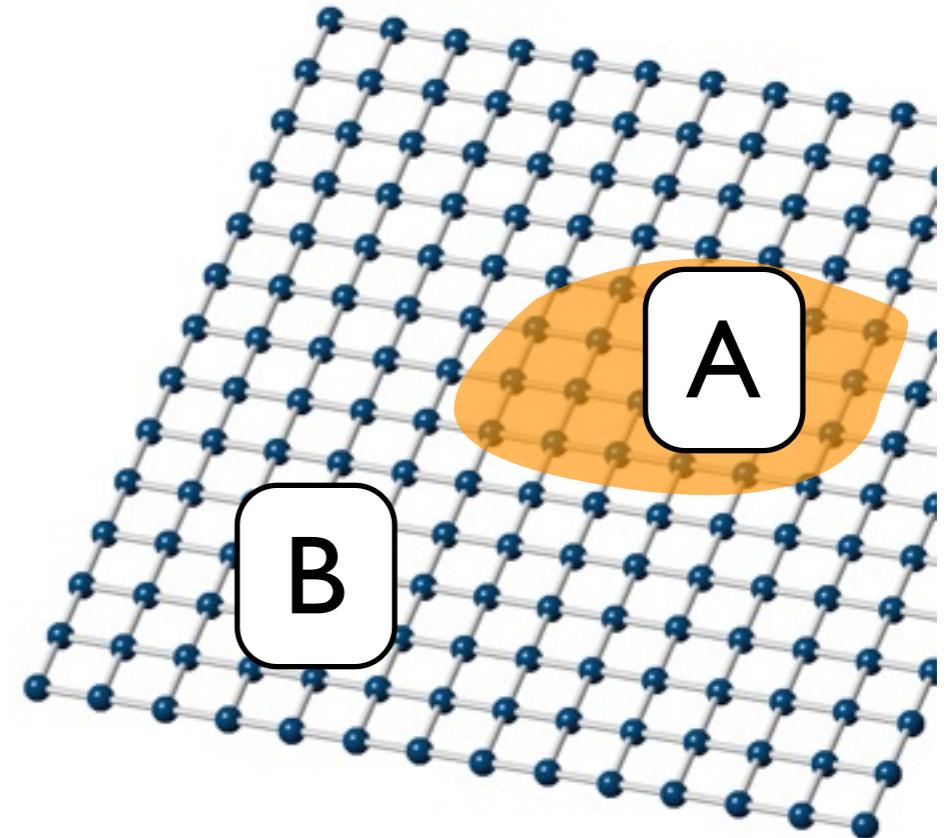
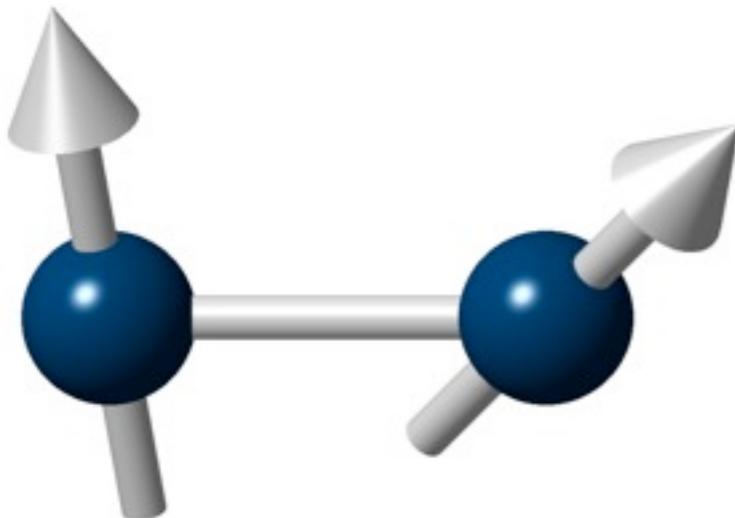
$$|\psi\rangle = \frac{1}{\sqrt{2}} (| \uparrow\downarrow \rangle + | \downarrow\uparrow \rangle)$$



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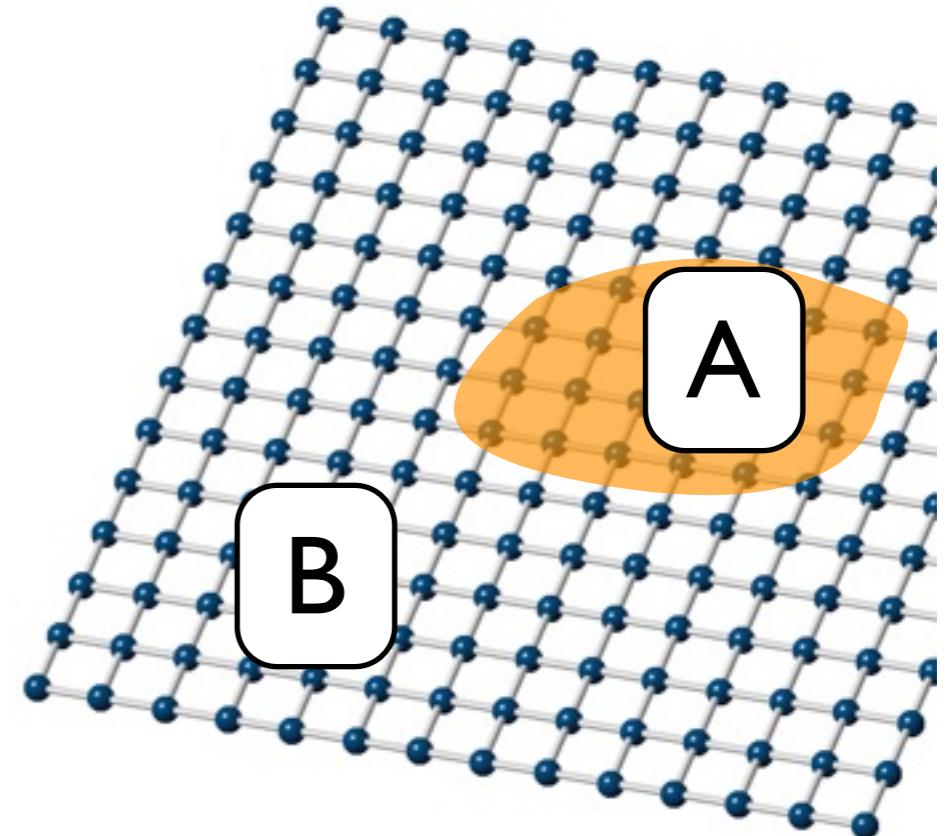
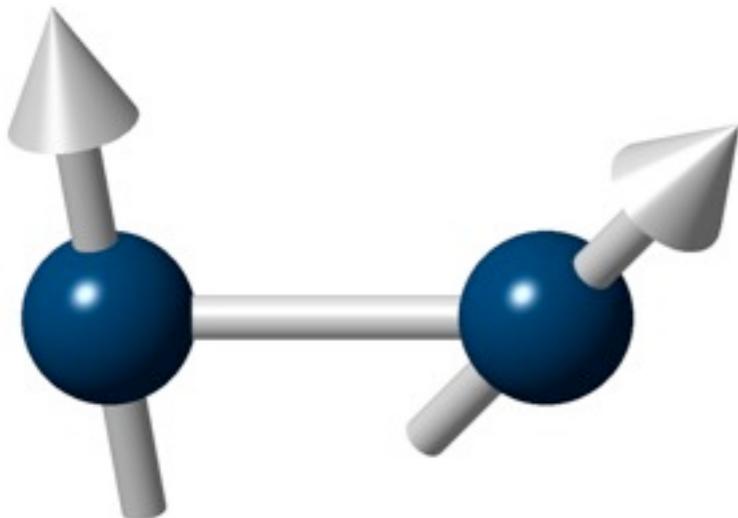
$$\rho_A = \text{tr}_B(|\psi\rangle\langle\psi|) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Best description of part A

# Reduced density matrix

$$\rho_A = \text{tr}_B(\rho_{AB})$$

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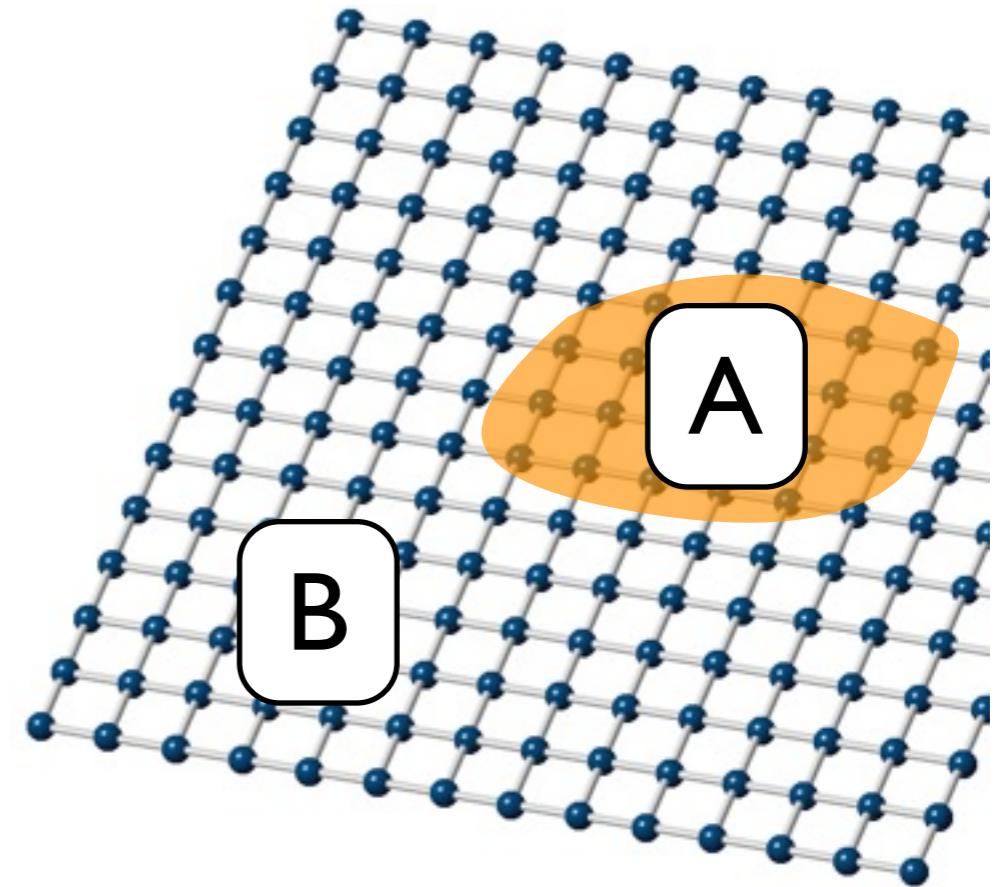
$$\rho_B = \text{tr}_A(|\psi\rangle\langle\psi|) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Best description of part B

# Schmidt decomposition

If  $|\psi\rangle$  is a pure state:

$$|\psi\rangle = \sum_i^{N_{Sch}} \lambda_i |i_A\rangle |i_B\rangle$$



$|\lambda_i| \geq 0; \{|i_A\rangle\}, \{|i_B\rangle\}$  orthonormal basis A, B

# Putting all together

$|\psi\rangle$

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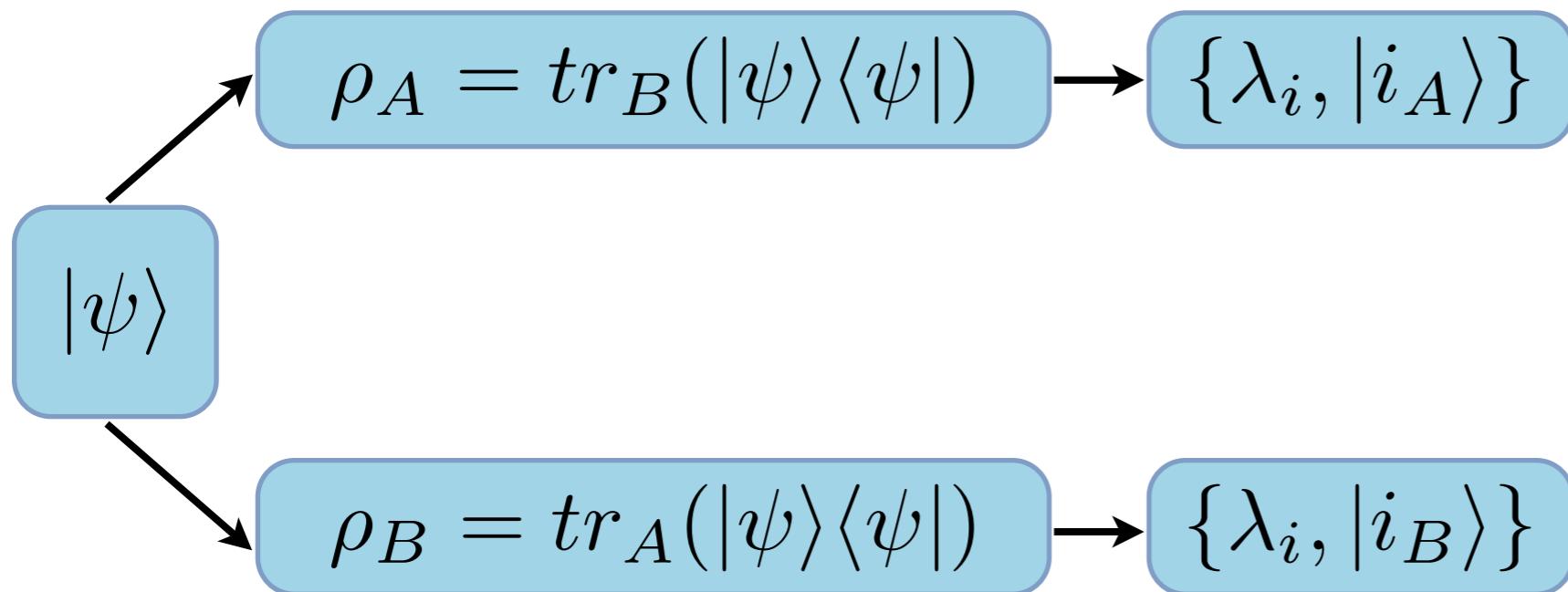
$$\rho_A = \text{tr}_B(|\psi\rangle\langle\psi|)$$

$|\psi\rangle$

$$\rho_B = \text{tr}_A(|\psi\rangle\langle\psi|)$$

**build reduced DMs**

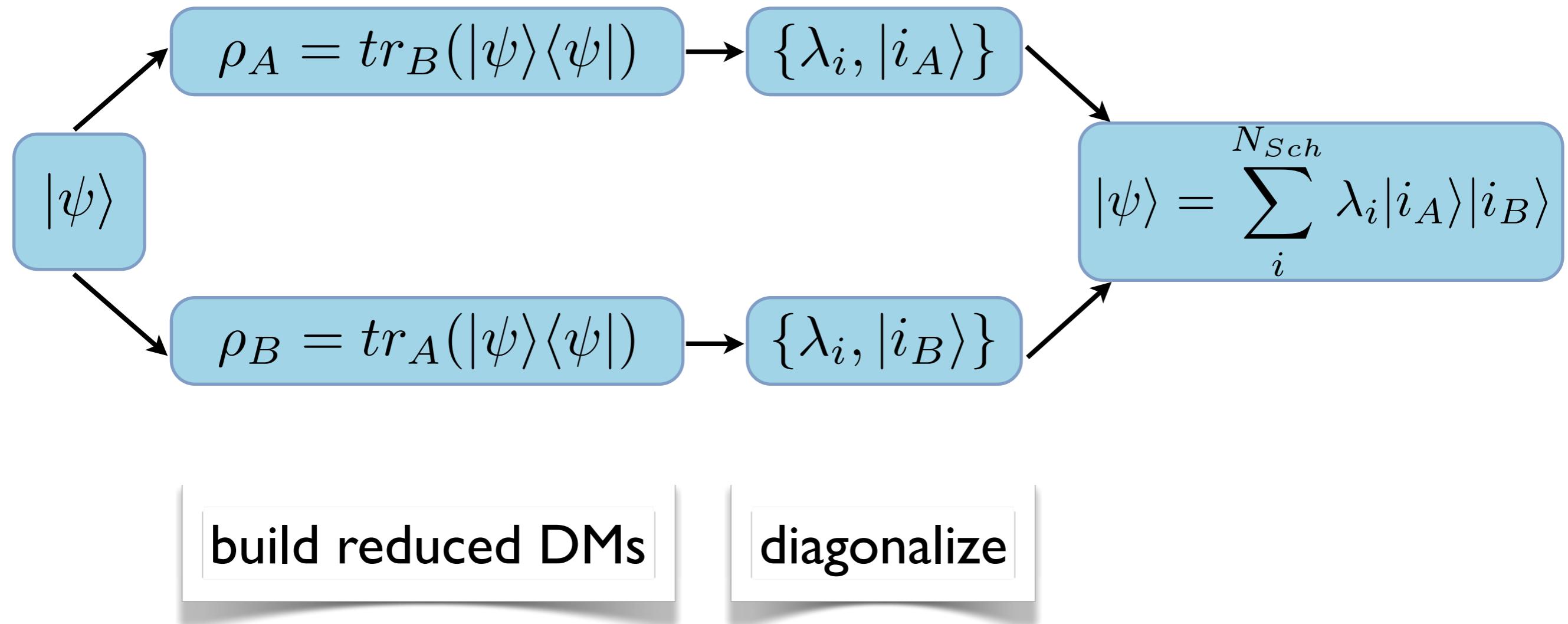
# Putting all together



build reduced DMs

diagonalize

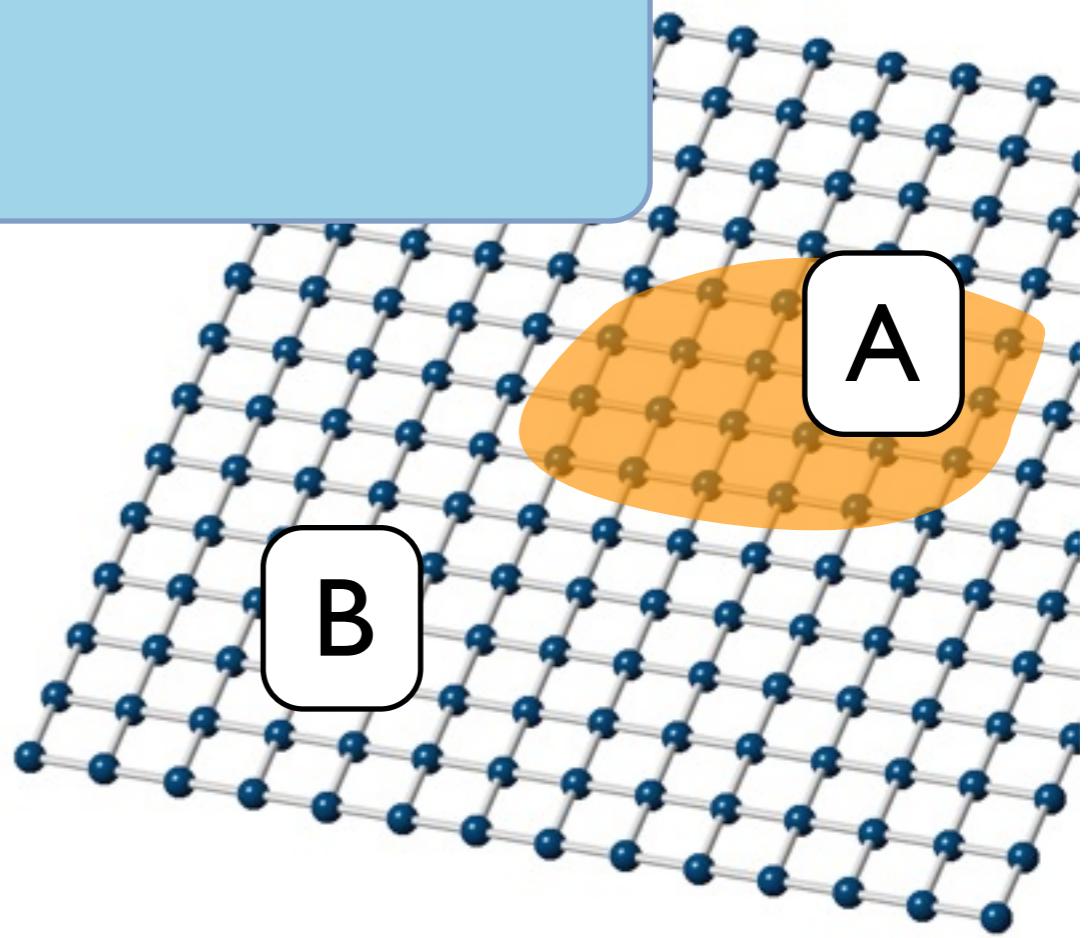
# Putting all together



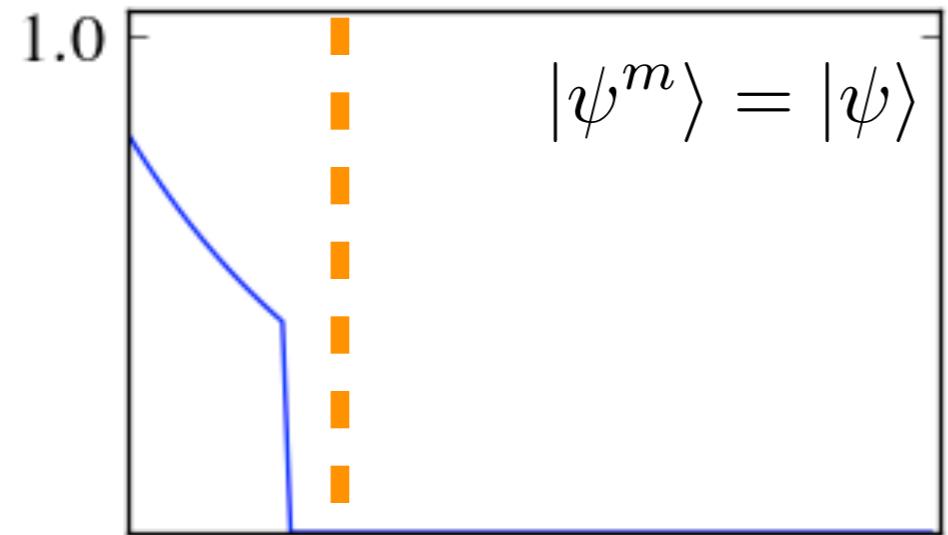
# Controlled approximation

$$|\psi\rangle \approx |\psi_{AB}^m\rangle \equiv \sum_i^m \lambda_i |i_A\rangle|i_B\rangle, \quad m < N_{Sch}$$

$$\epsilon = 1 - \sum_{i=m+1}^{N_{Sch}} \lambda_i^2$$

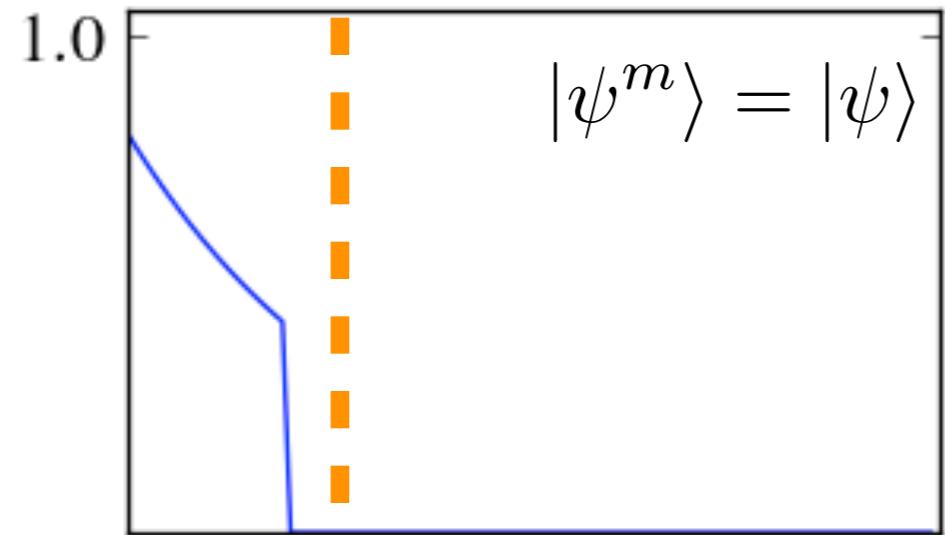


# Approximating wavefunctions $m \ll N_{Sch}$



**m-dimensional MPS**

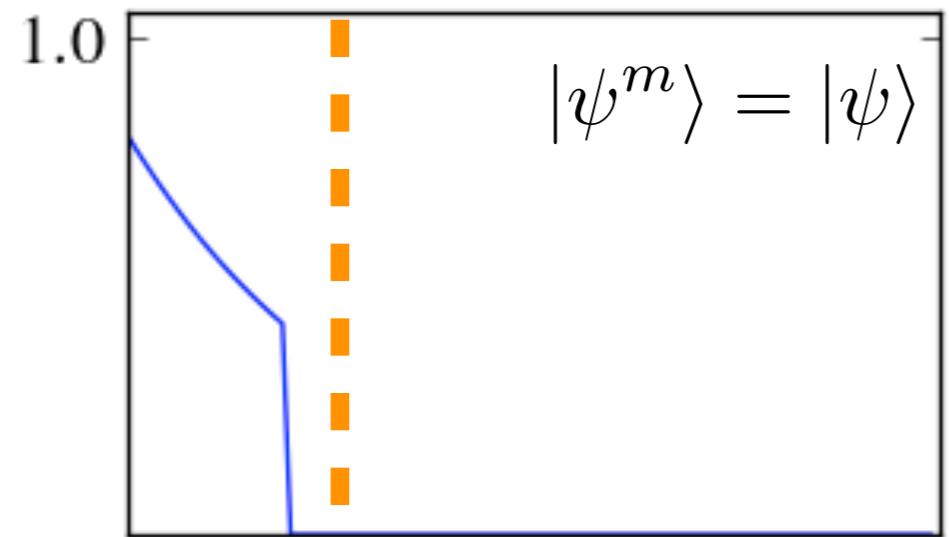
# Approximating wavefunctions $m \ll N_{Sch}$



$$H = \sum_i \left[ \vec{S}_i \cdot \vec{S}_{i+1} + \frac{1}{3} \left( \vec{S}_i \cdot \vec{S}_{i+1} \right)^2 \right]$$

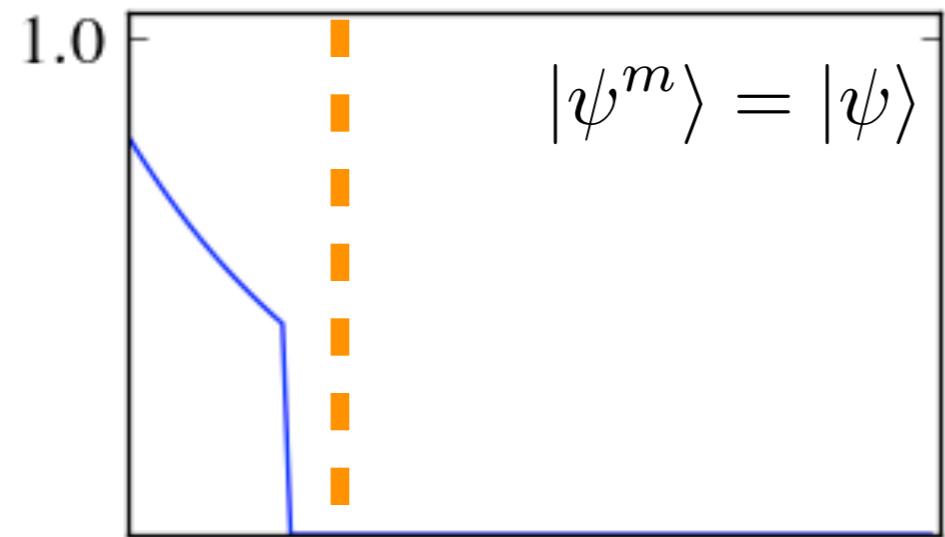
Affleck et al, PRL 59 (1987) 799

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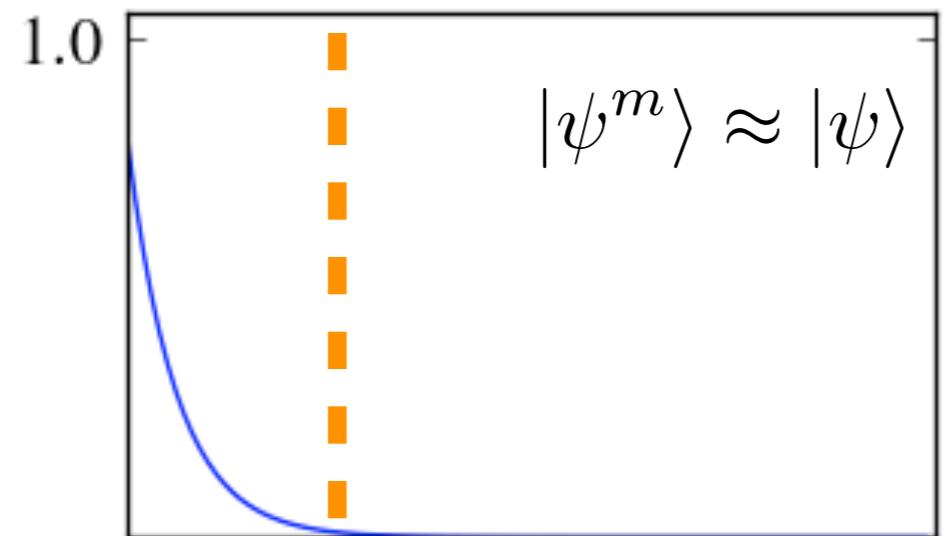


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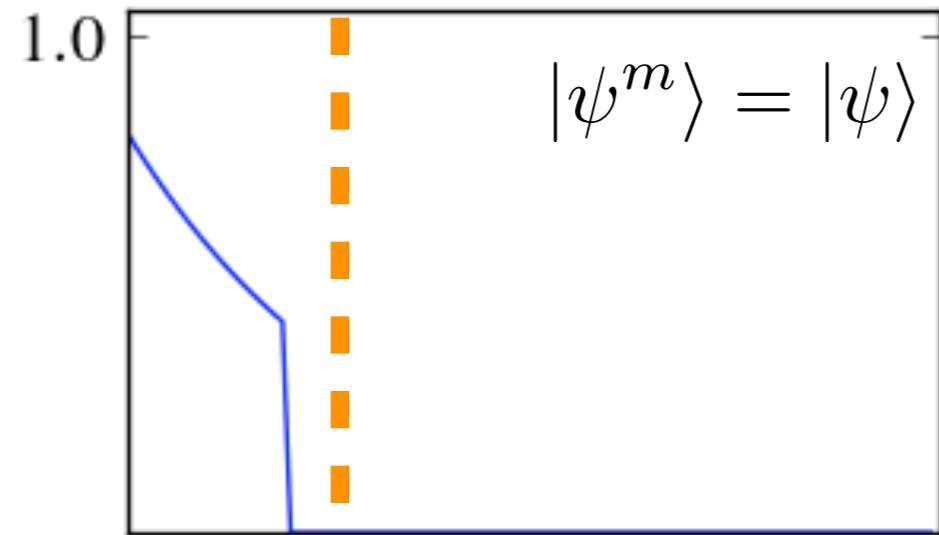


**m-dimensional MPS**

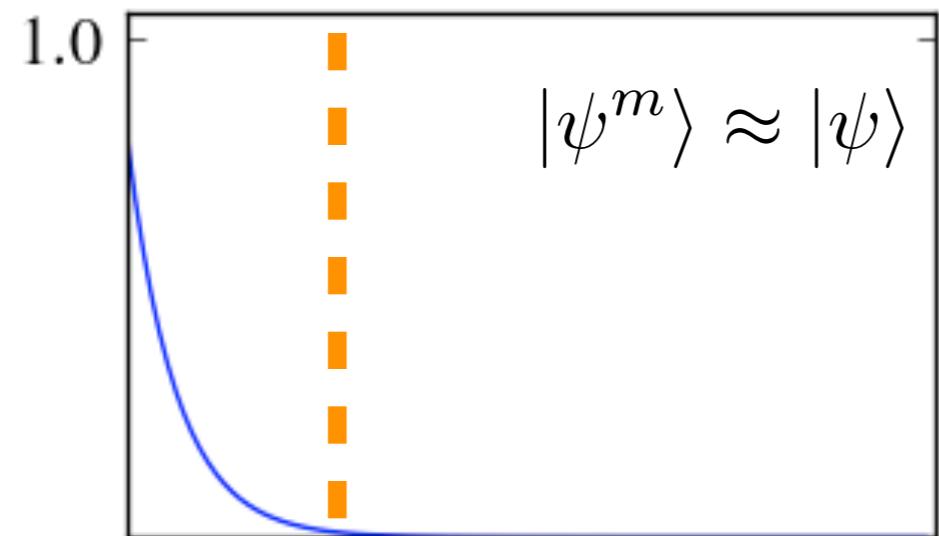


**1D ground states**

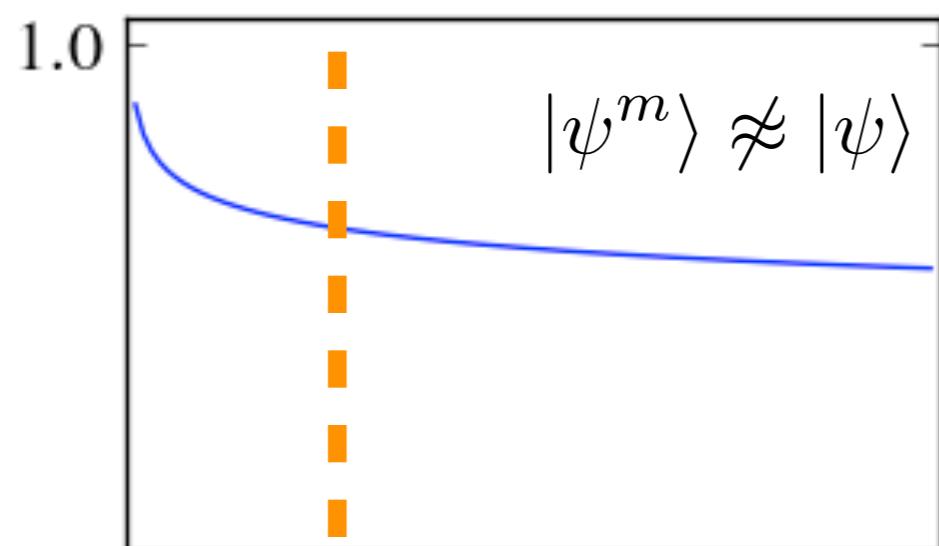
# Approximating wavefunctions $m \ll N_{Sch}$



**m-dimensional MPS**

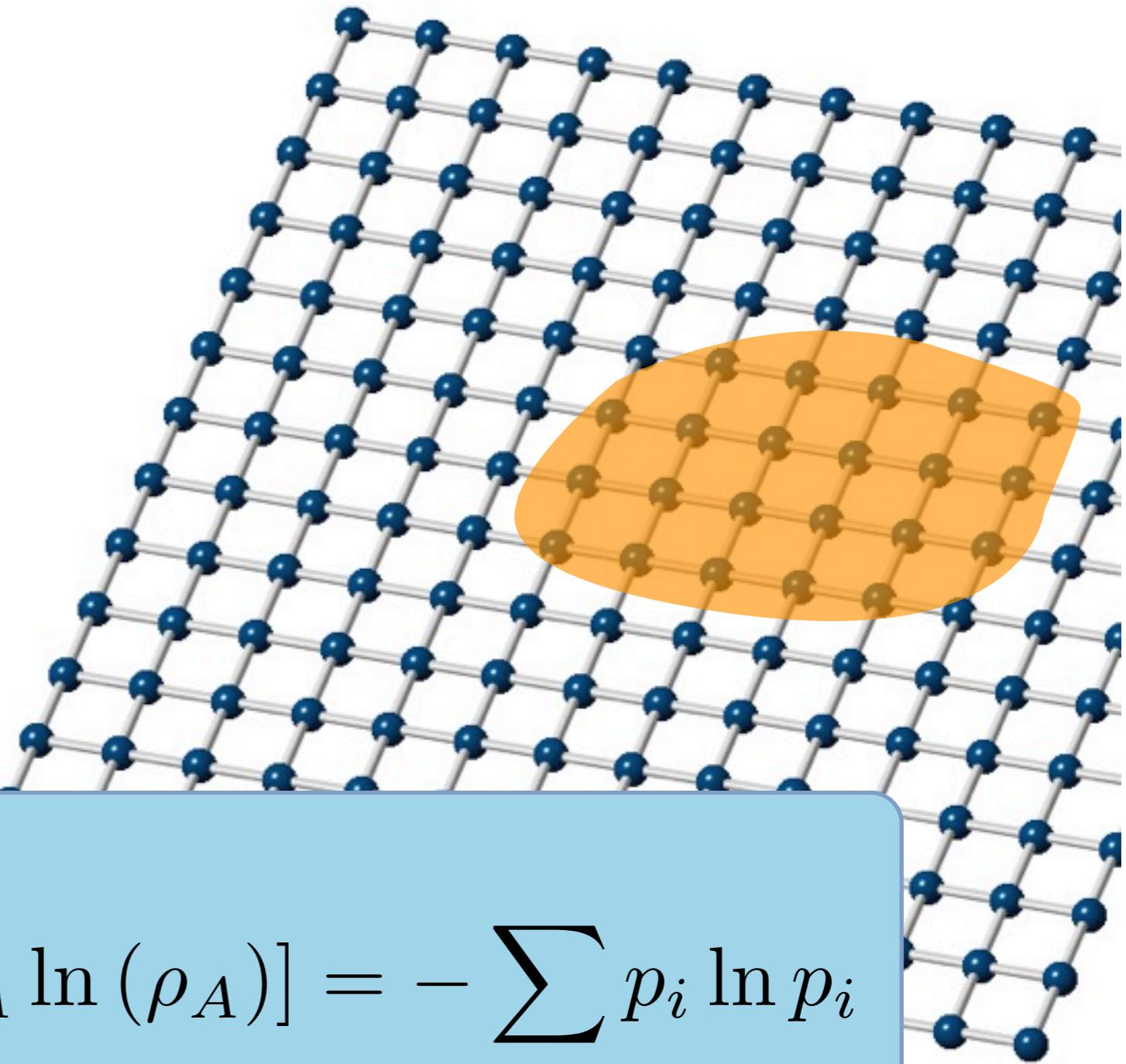


**1D ground states**



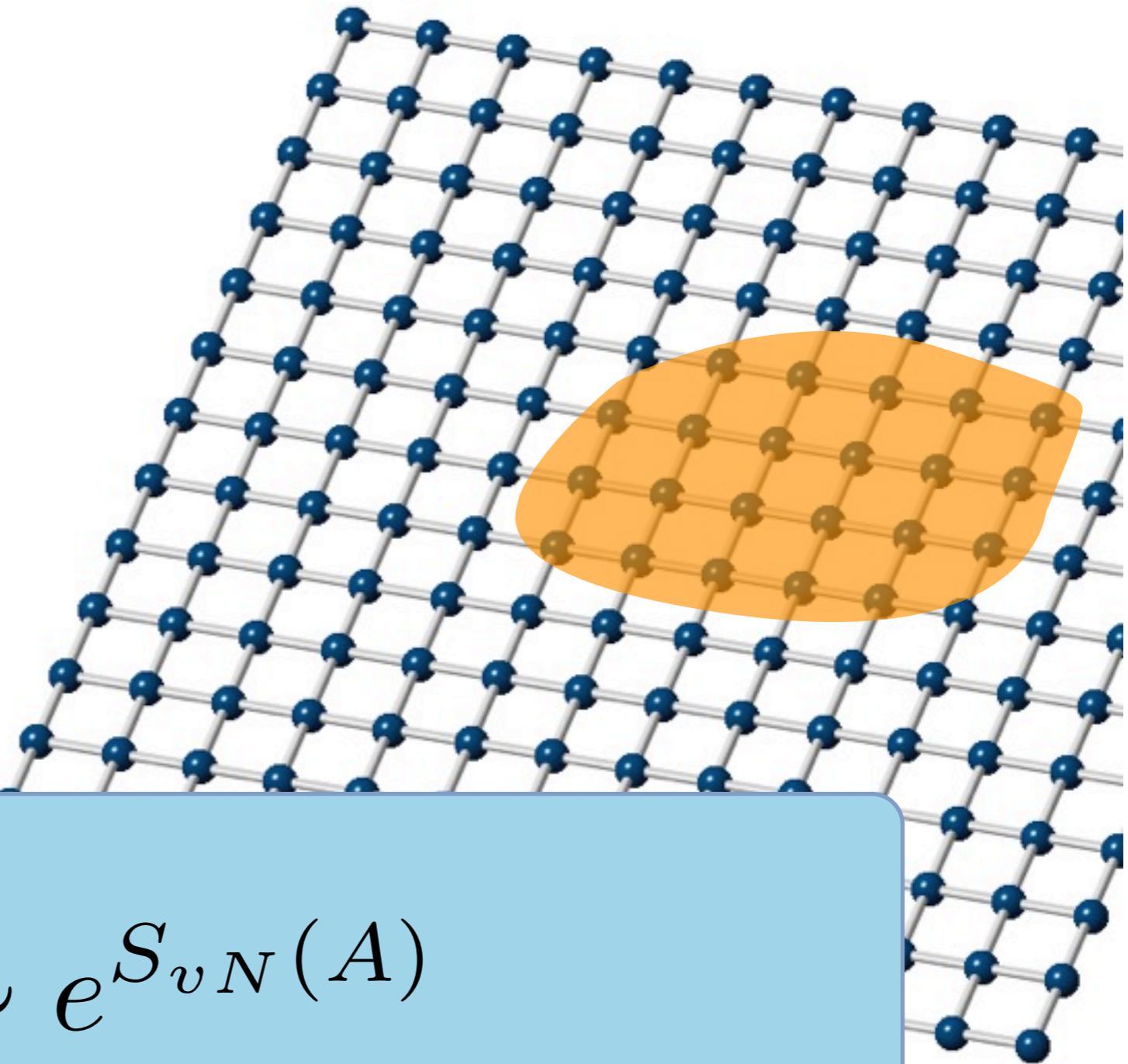
**General, incl. 2D**

# Von Neumann Entropy



$$S_{vN}(A) = -\text{tr} [\rho_A \ln (\rho_A)] = - \sum_i p_i \ln p_i$$

# Von Neumann Entropy



$$m \sim e^{S_{vN}(A)}$$

# Scaling of the entanglement entropy

1D gapped:  $S_{vN}(L) \sim \log(\xi) \Rightarrow \lim_{L \rightarrow \infty} m \sim const$

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OK for DMRG!

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2D gapped:

Area law?

2D gapless:

$S_{vN}(L) \sim L^{d-1} \Rightarrow \lim_{L \rightarrow \infty} m \sim e^{L^{d-1}}$

# Scaling of the entanglement entropy

1D gapped:  $S_{vN}(L) \sim \log(\xi) \Rightarrow \lim m \sim const$

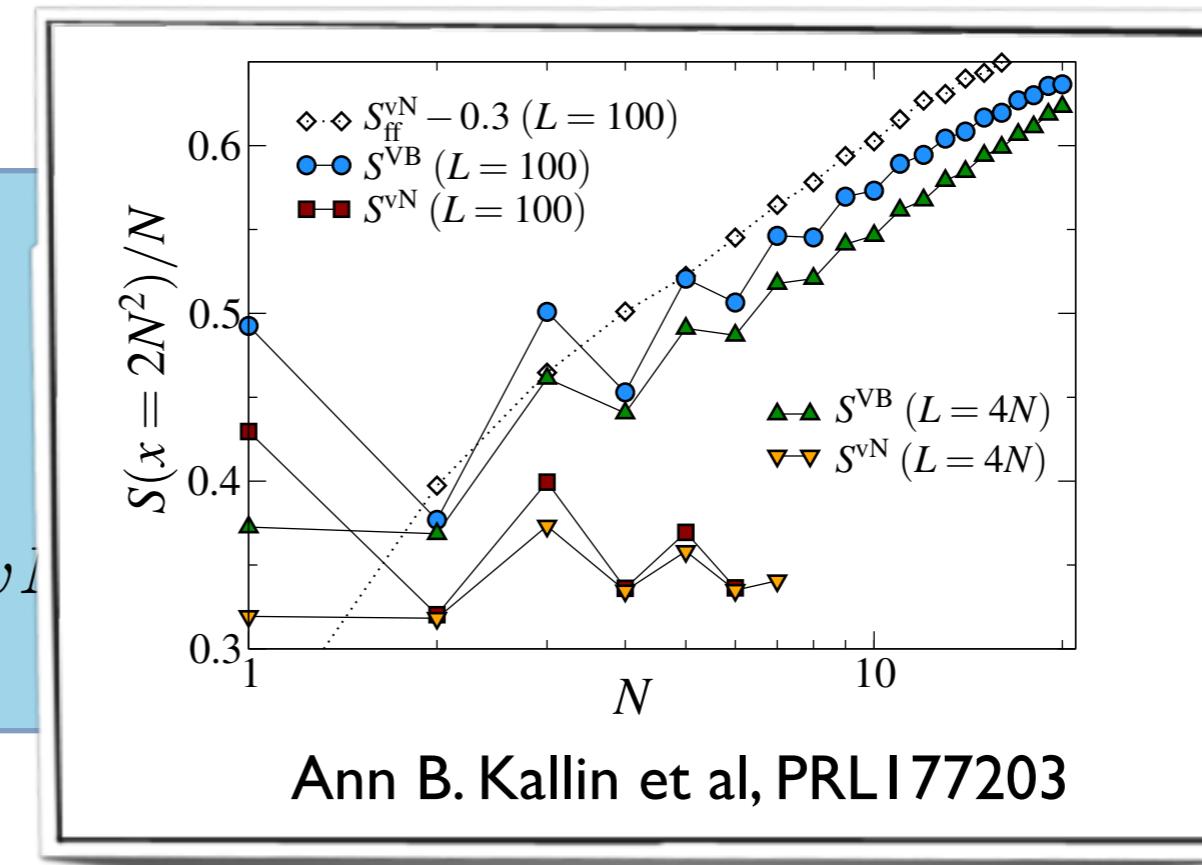
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2D gapped:

2D gapless:

$S_{vN}$



,  $d - 1$

Ann B. Kallin et al, PRL 177203

# Scaling of the entanglement entropy

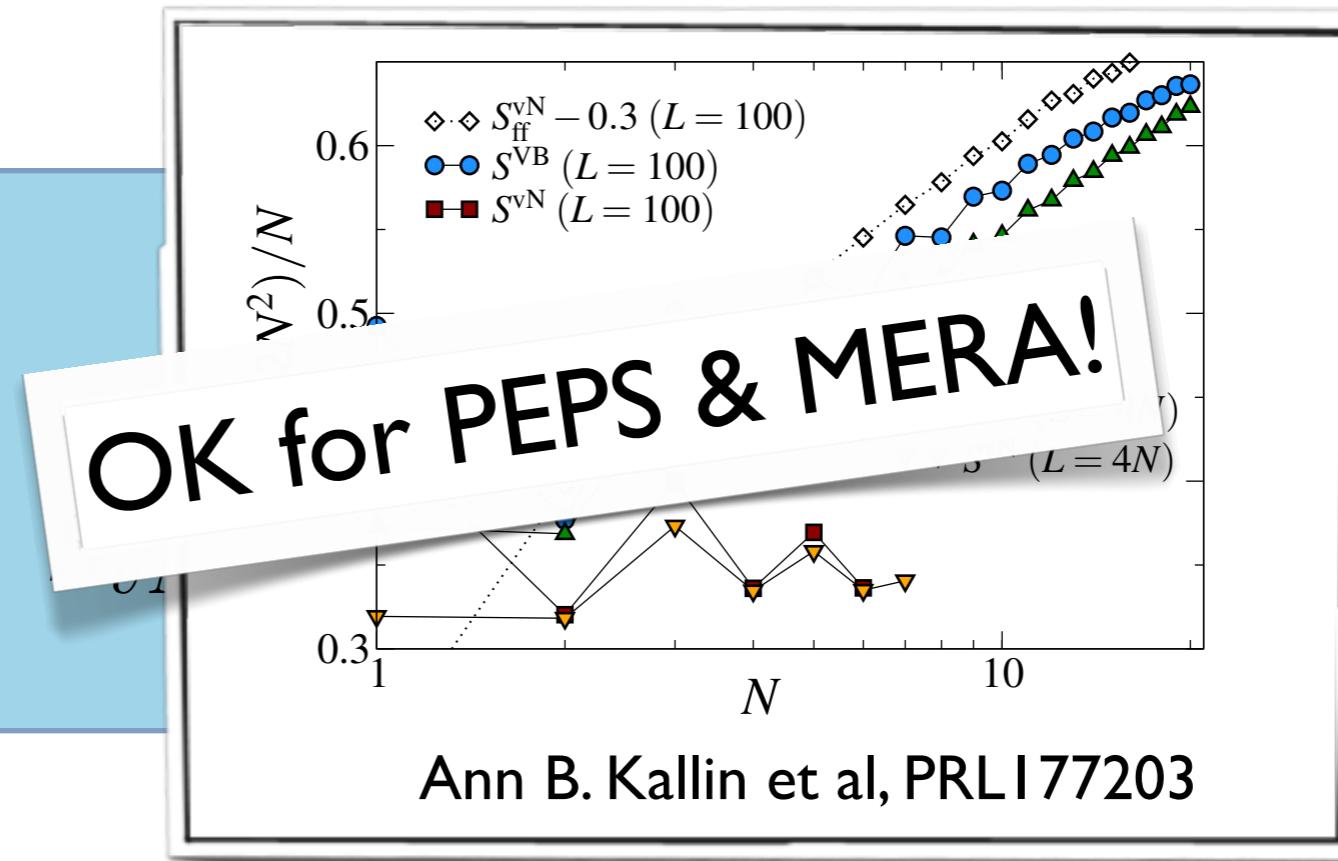
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2D gapped:

2D gapless:



,  $d-1$

# Truncating matrices

$$\rho_A^{diag} = U \rho_A U^{-1}$$

$$U = \begin{pmatrix} u_{00} & u_{01} & \cdots & u_{0N_{Sch}} \\ u_{10} & u_{11} & \cdots & u_{1N_{Sch}} \\ \vdots & \vdots & \ddots & \vdots \\ u_{N_{Sch}0} & u_{N_{sch}1} & \cdots & u_{N_{Sch}N_{Sch}} \end{pmatrix}$$

# Truncating matrices

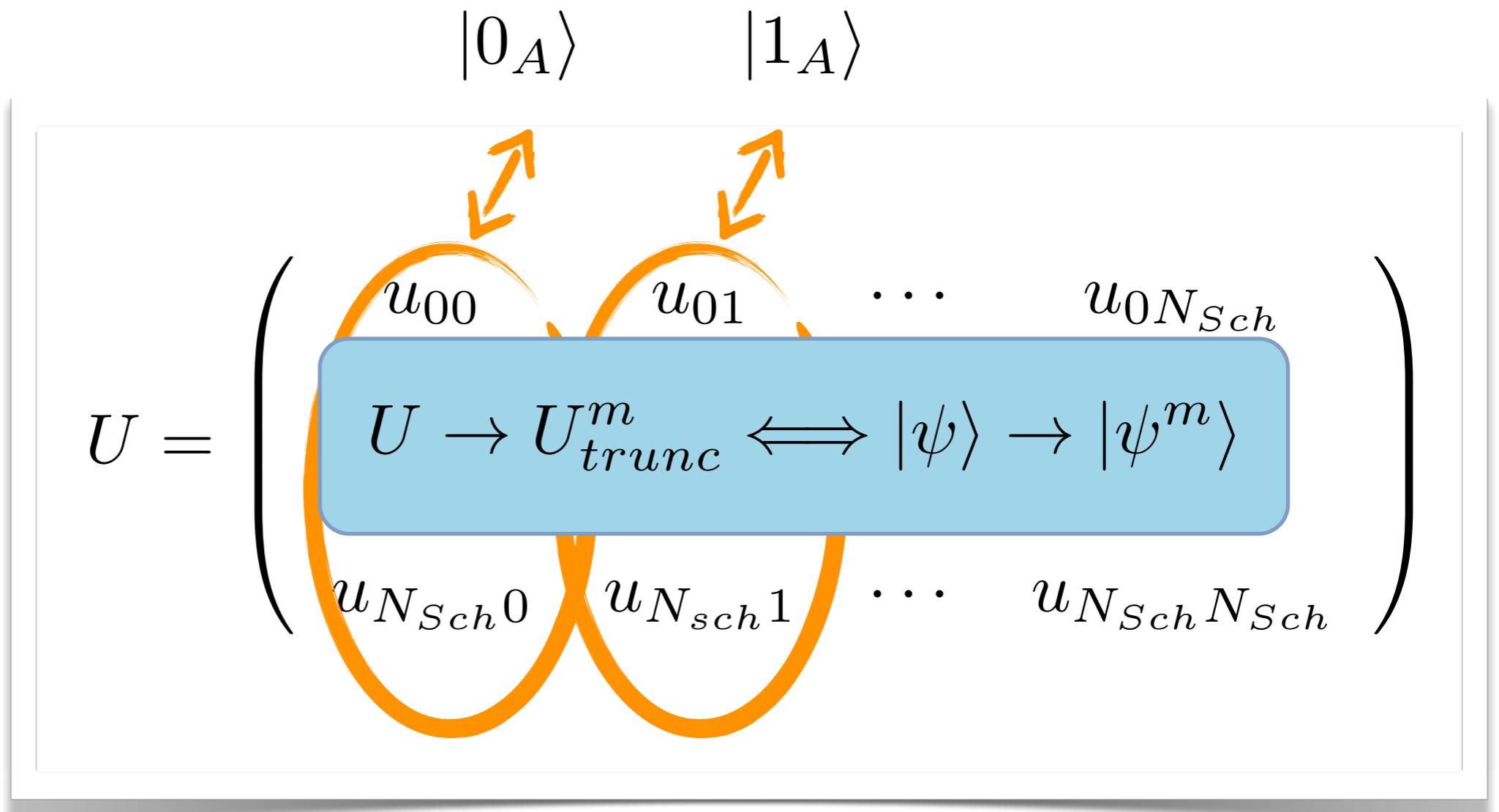
$$\rho_A^{diag} = U \rho_A U^{-1}$$

$$U = \begin{pmatrix} & |0_A\rangle & |1_A\rangle \\ & \swarrow \quad \searrow & \swarrow \quad \searrow \\ u_{00} & & u_{01} & \cdots & u_{0N_{Sch}} \\ u_{10} & & u_{11} & \cdots & u_{1N_{Sch}} \\ \vdots & & \vdots & \ddots & \vdots \\ u_{N_{Sch}0} & & u_{N_{Sch}1} & \cdots & u_{N_{Sch}N_{Sch}} \end{pmatrix}$$

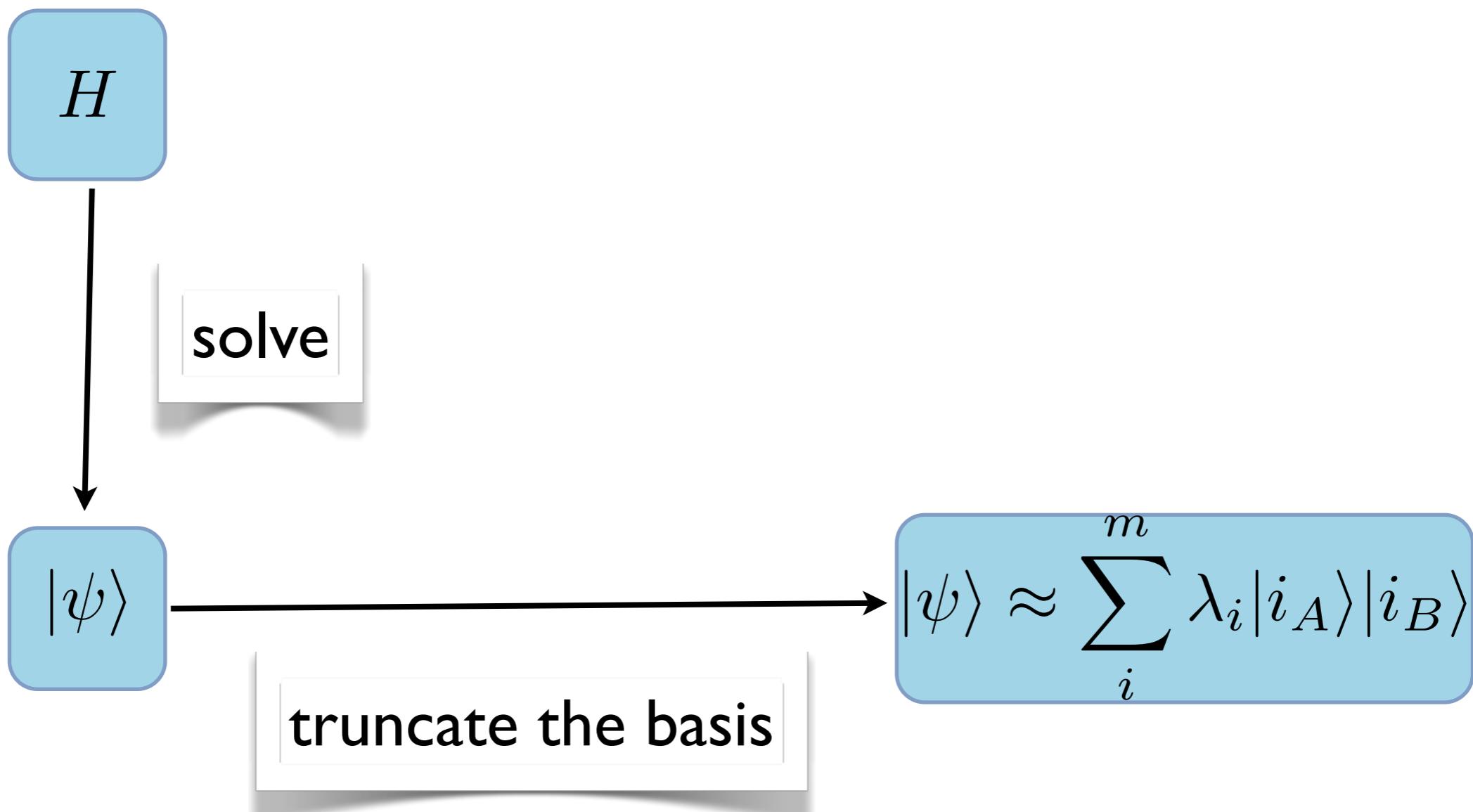
The diagram illustrates the truncation of a unitary matrix  $U$ . The matrix is shown as a grid of elements  $u_{ij}$ , where  $i$  and  $j$  range from 0 to  $N_{Sch}$ . Two columns of the matrix are highlighted with orange ellipses: the first column  $|0_A\rangle$  and the second column  $|1_A\rangle$ . Orange double-headed arrows between the two highlighted columns indicate that they are being compared or related. The other columns of the matrix are represented by ellipses.

# Truncating matrices

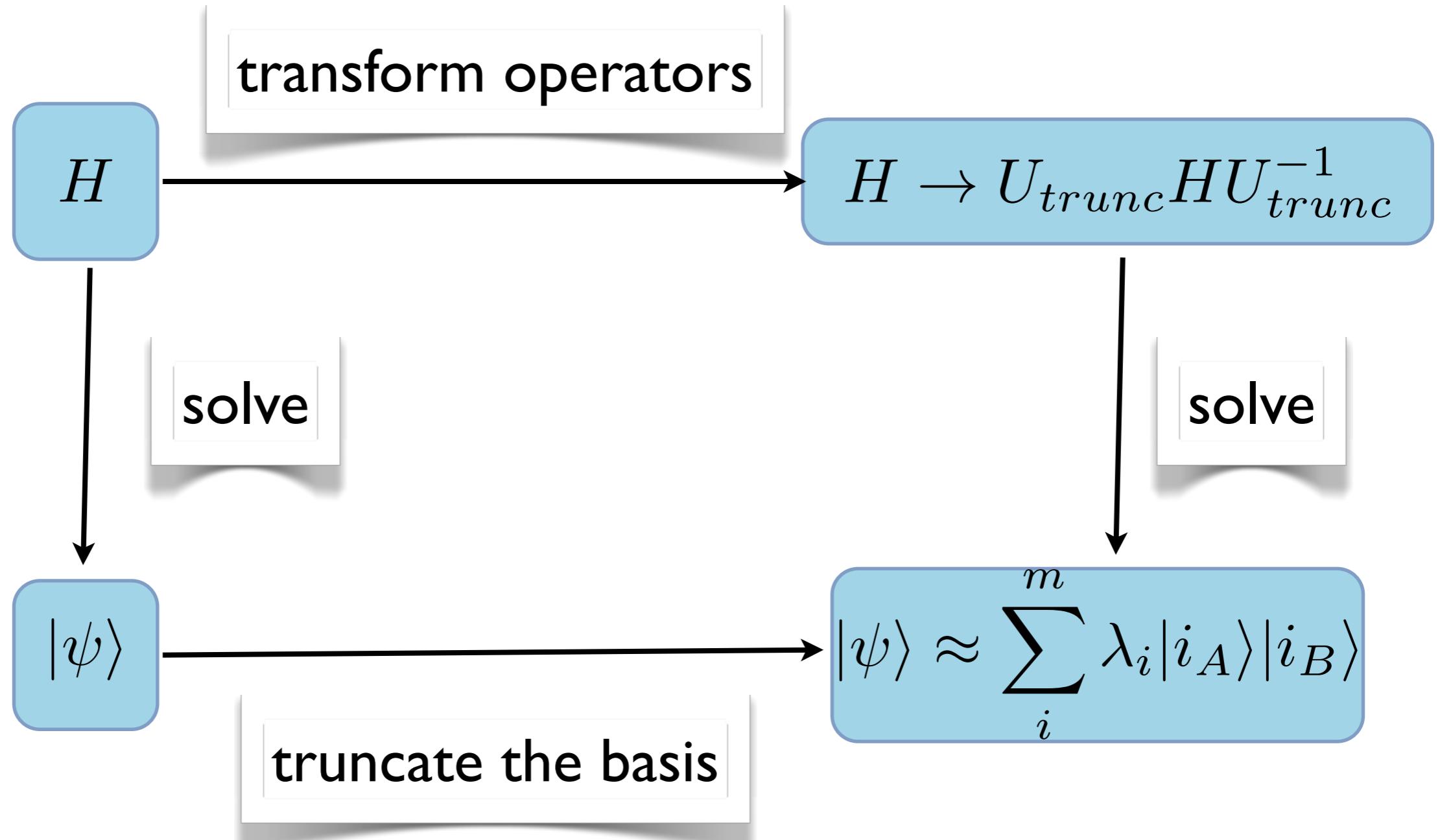
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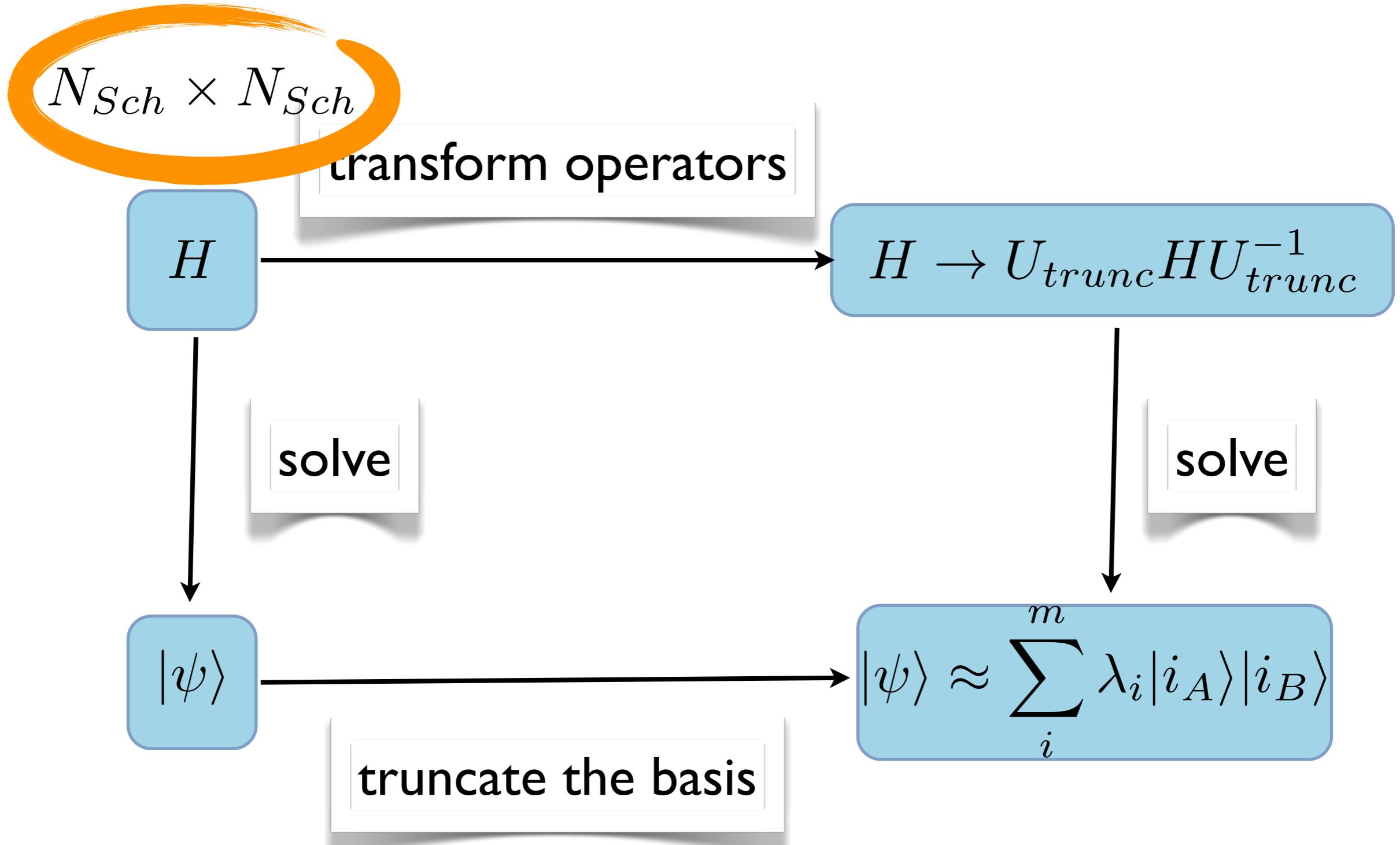
# A renormalization transformation



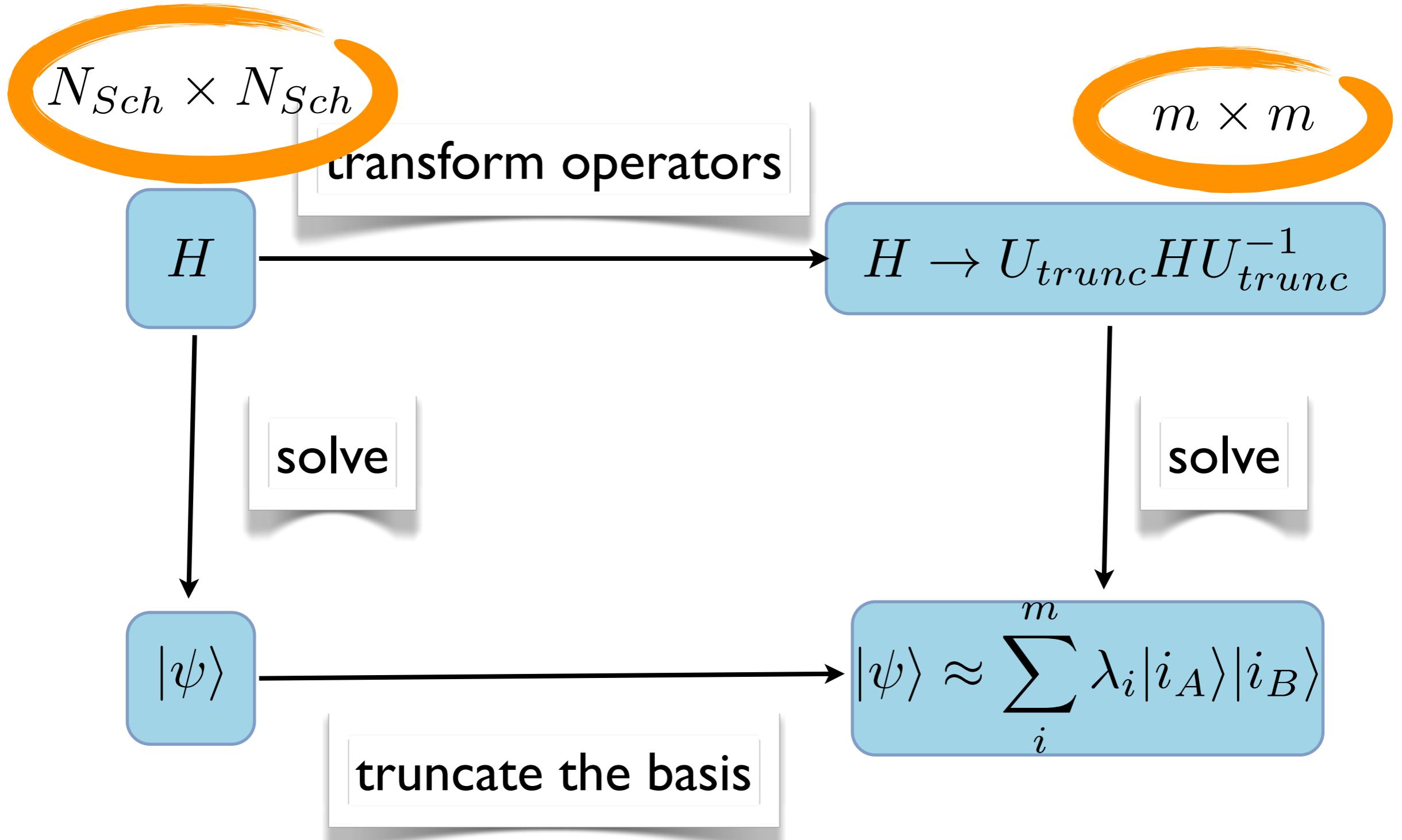
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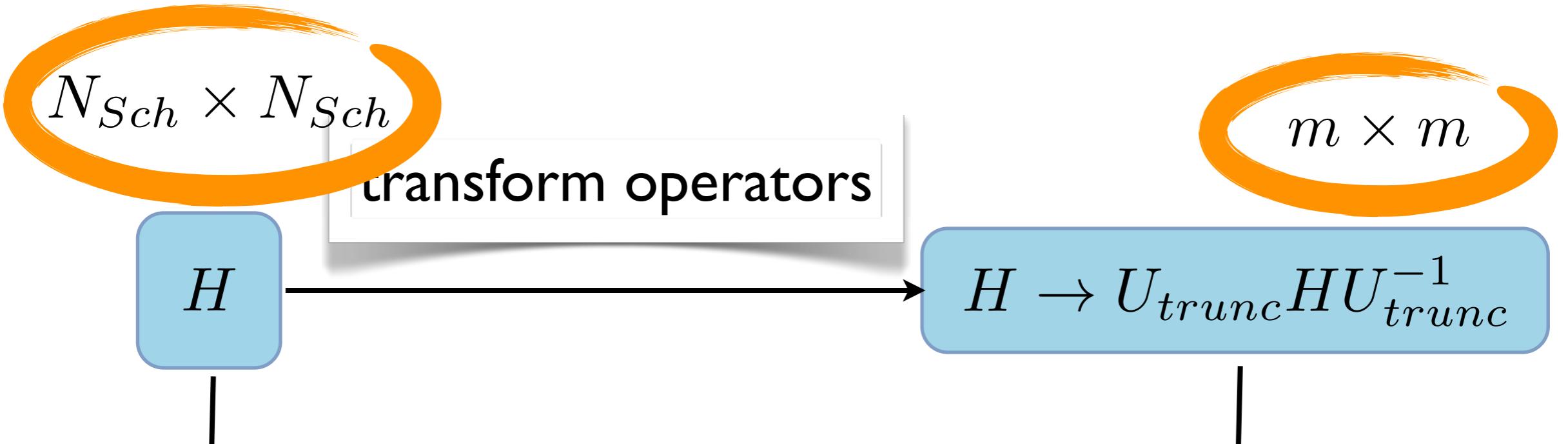
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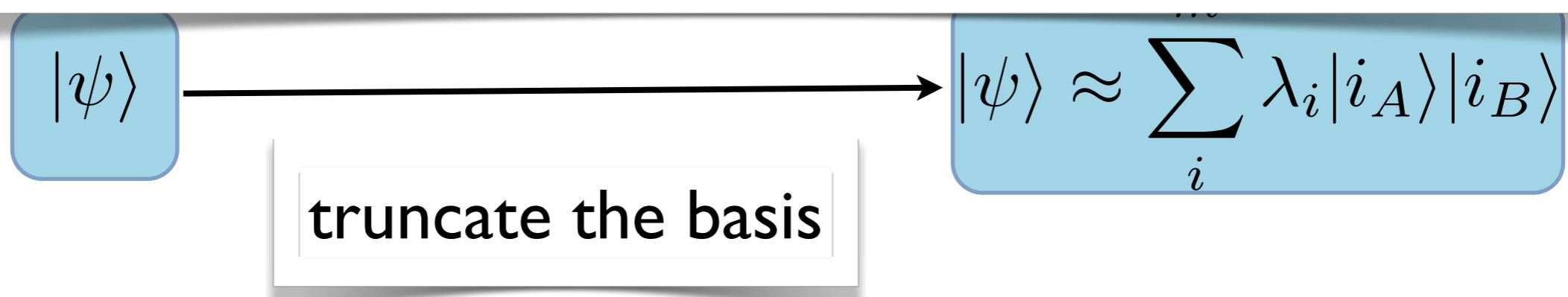
# A renormalization transformation



# A renormalization transformation



Way smaller matrix gives the “same”  
wavefunction



# Recap

- 1D ground states are slightly entangled
- controlled approximation to wavefunction
- reduced DM diagonalization gives RG transformation

### III. Implementation of the (two-site) DMRG algorithm

# Tutorial code: Heisenberg model

$$H = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1}, \quad S = 1/2$$



# Tutorial code: Heisenberg model

$$H = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1}, \quad S = 1/2$$



$$S_{vN}(l) = \frac{1}{6} \ln \left[ \frac{2L}{\pi} \sin \left( \frac{\pi l}{L} \right) \right] + \frac{1}{2} c'_{vN} + \ln g$$

$$m \sim e^{S_{vN}(L/2)} \approx L^{1/6}$$

# The DMRG plan

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- I. Find the small part of the Hilbert space spanning the ground state wavefunction

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the ground state wavefunction
2. Diagonalize exactly the Hamiltonian in this  
subspace

# Splitting the chain in blocks

$$H = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1}, \quad S = 1/2$$

## Splitting the chain in blocks

$$H = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1}, \quad S = 1/2$$

$$H = H_{e_{i-1}} + \vec{S}_{i-1} \cdot \vec{S}_i + \vec{S}_i \cdot \vec{S}_{i+1} + \boxed{\vec{S}_i \cdot \vec{S}_{i+2} + H_{b_{i+2}}}$$

blockHamiltonian

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$$H = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1}, \quad S = 1/2$$

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blockHamiltonian

blockHamiltonian

system

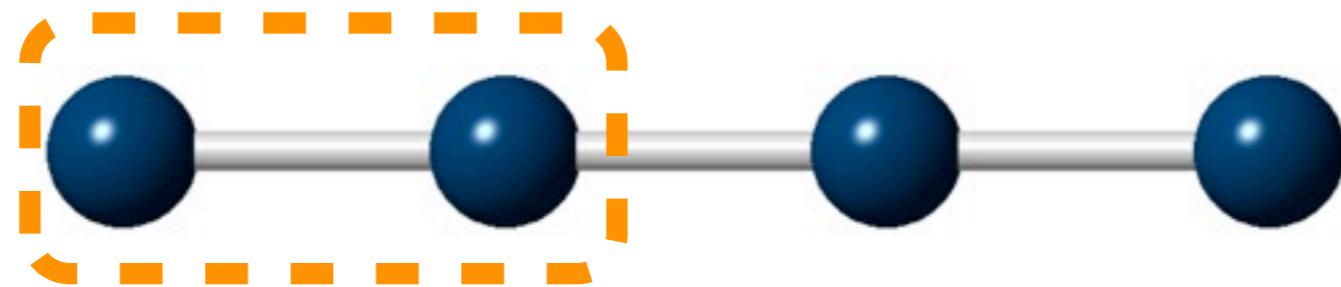
environment

$$|\psi\rangle = \sum_{\substack{e_{i-1}, \sigma_i, \\ \sigma_{i+1}, b_{i+2}}} c_{e_{i-1}, \sigma_i, \sigma_{i+1}, b_{i+2}} |e_{i-1}\rangle \otimes |\sigma_i\rangle \otimes |\sigma_{i+1}\rangle \otimes |b_{i+2}\rangle$$

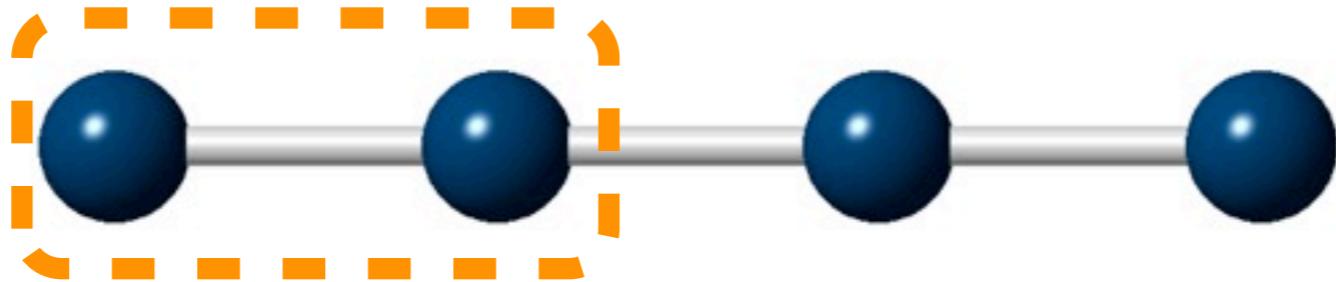
# Building the Hamiltonian



# Building the Hamiltonian

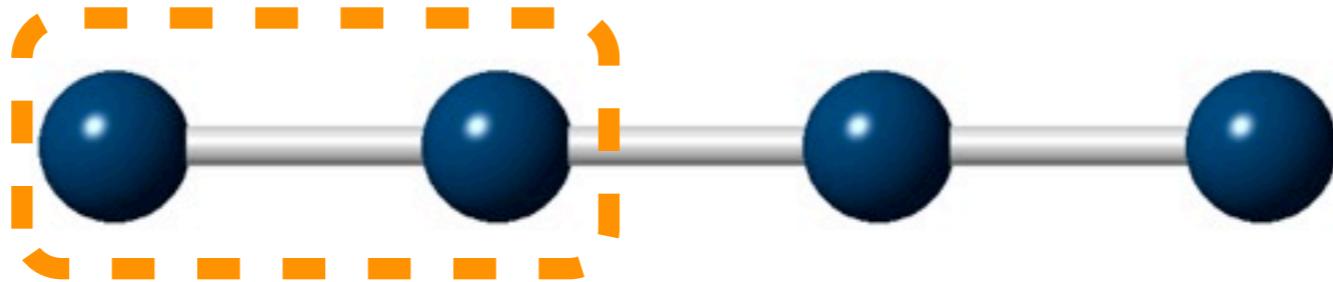


# Building the Hamiltonian



```
system.blockH=( $\sigma^x \otimes \sigma^x + \sigma_s^y \otimes \sigma_s^y + \sigma_s^z \otimes \sigma_s^z$ )ijkl
```

# Building the Hamiltonian



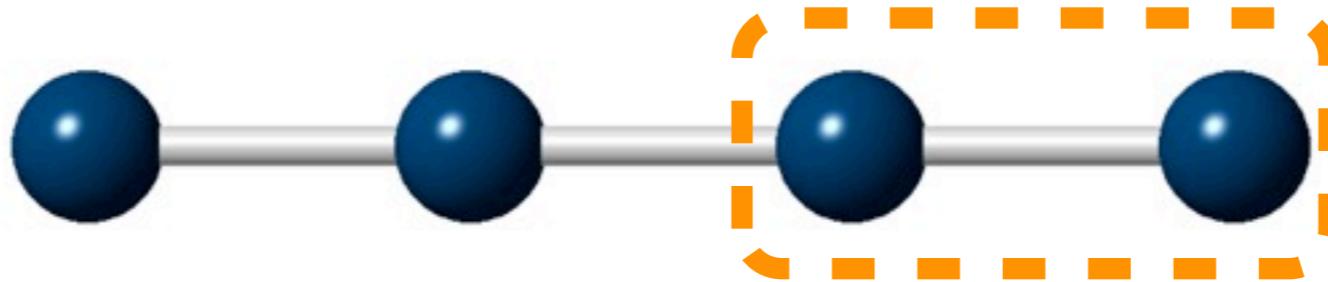
```
system.blockH=( $\sigma^x \otimes \sigma^x + \sigma_s^y \otimes \sigma_s^y + \sigma_s^z \otimes \sigma_s^z$ )ijkl
```

```
Sx=( $\mathbb{I} \otimes \sigma_x$ )ijkl
```

```
Sy=( $\mathbb{I} \otimes \sigma_y$ )ijkl
```

```
Sz=( $\mathbb{I} \otimes \sigma_z$ )ijkl
```

# Building the Hamiltonian



```
environ.blockH=system.blockH
```

$$S_x = (\mathbb{I} \otimes \sigma_x)_{ijkl}$$

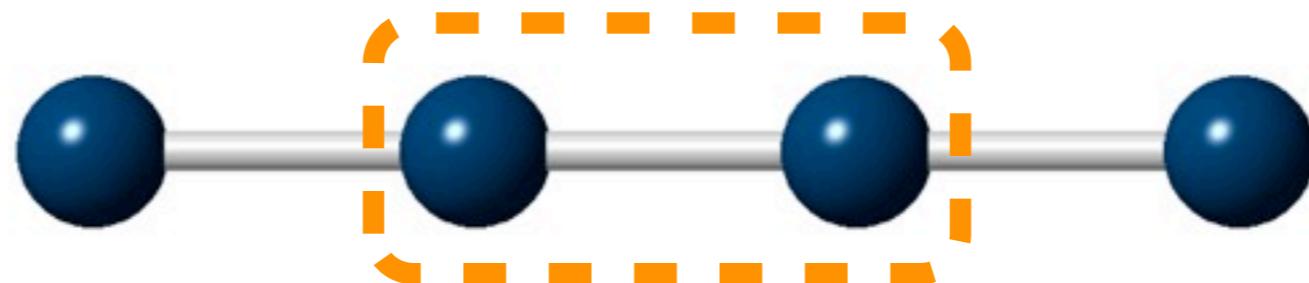
$$S_y = (\mathbb{I} \otimes \sigma_y)_{ijkl}$$

$$S_z = (\mathbb{I} \otimes \sigma_z)_{ijkl}$$

# Building the Hamiltonian



# Building the Hamiltonian



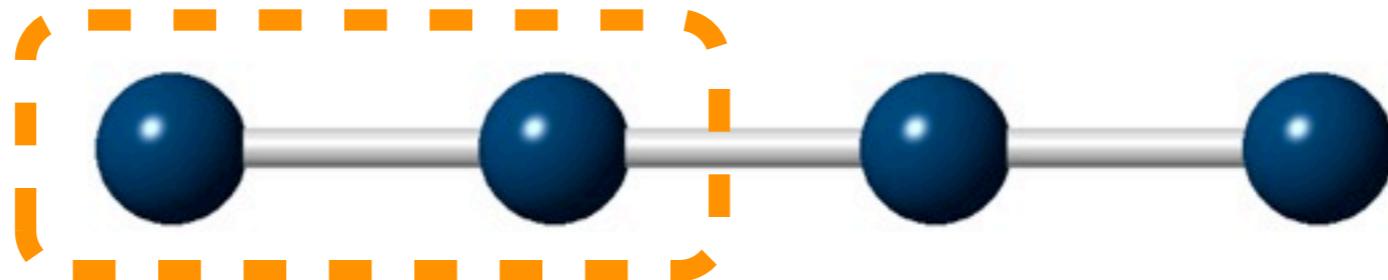
$$H_{\text{se}} = (S_x \otimes S_x + S_y \otimes S_y + S_z \otimes S_z)$$

# Building the Hamiltonian

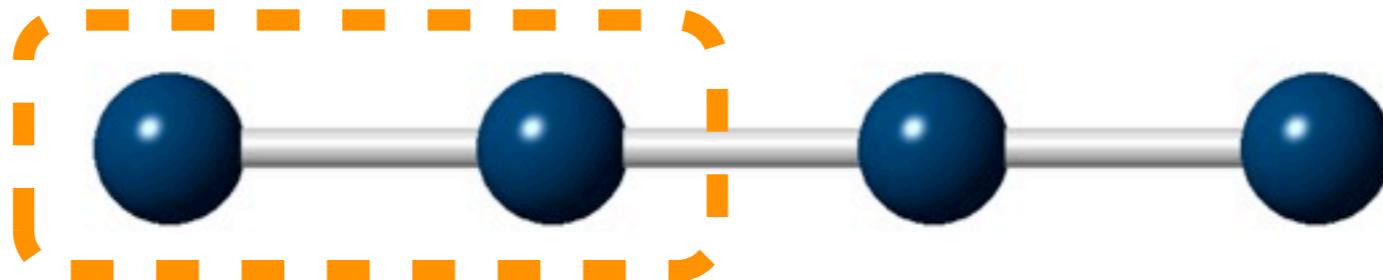


```
Habcd=system.blockH ⊗ I +  
I ⊗ environ.blockH +  
(Sx ⊗ Sx + Sy ⊗ Sy + Sz ⊗ Sz)
```

# Implementing the RG transformation



# Implementing the RG transformation



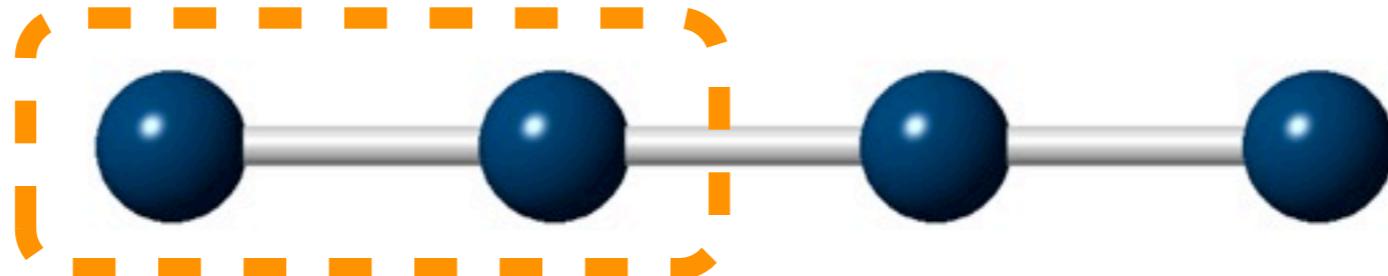
$$\text{blockH}' = U_{trunc} * \text{system.blockH} * U_{trunc}^T$$

$$Sx' = U_{trunc} * Sx * U_{trunc}^T$$

$$Sy' = U_{trunc} * Sy * U_{trunc}^T$$

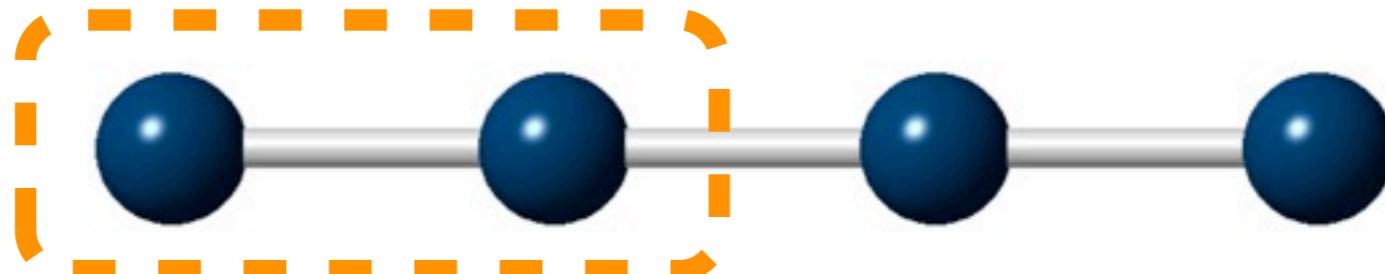
$$Sz' = U_{trunc} * Sz * U_{trunc}^T$$

# Implementing the RG transformation

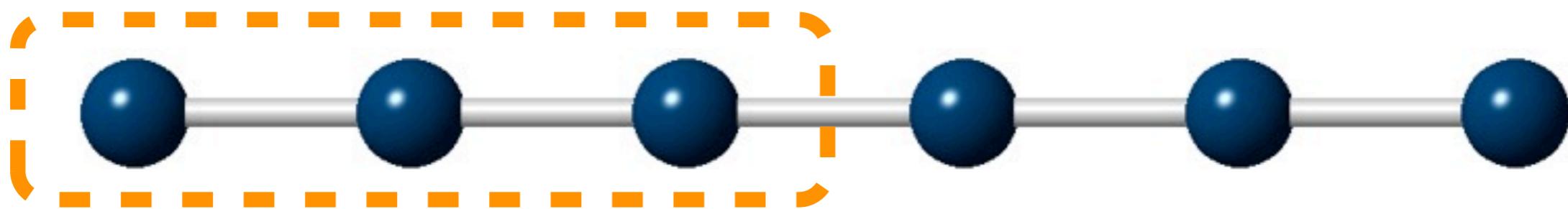


```
system.blockH =blockH' +  
    Sx' ⊗ σx + Sy' ⊗ σy + Sz' ⊗ σz
```

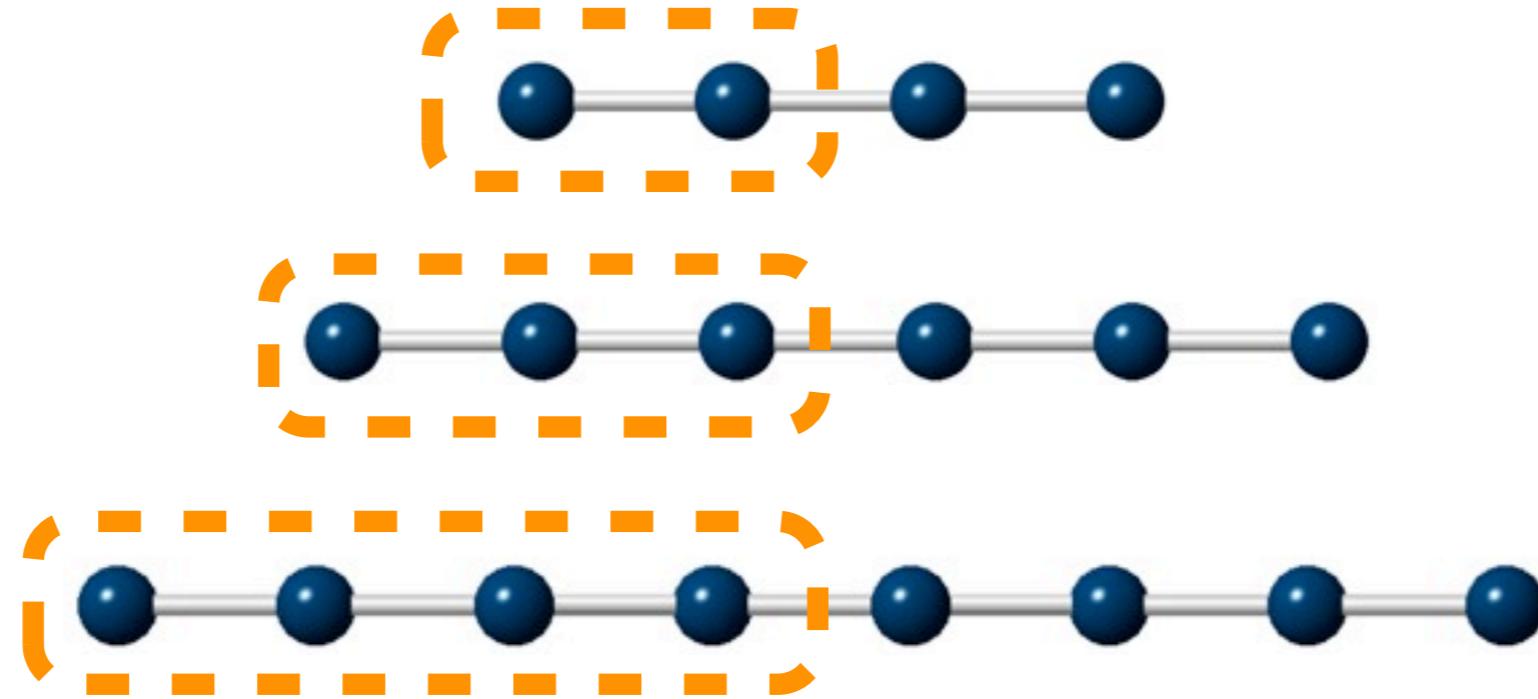
# Implementing the RG transformation



```
system.blockH =blockH' +  
    Sx' ⊗ σx + Sy' ⊗ σy + Sz' ⊗ σz
```

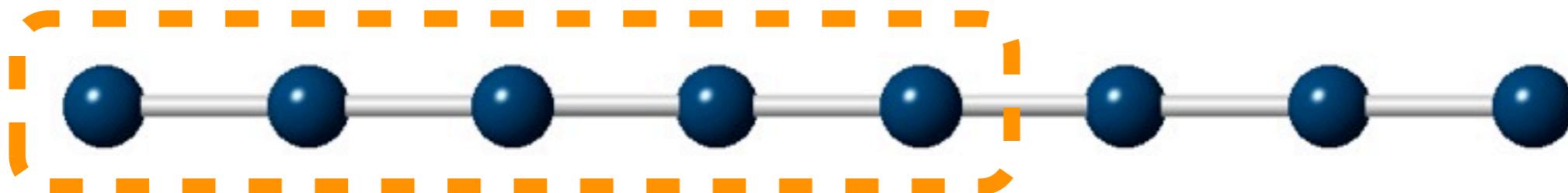
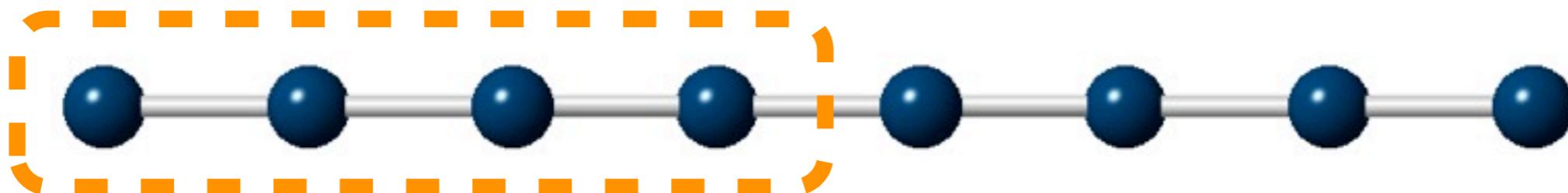


# Growing the system

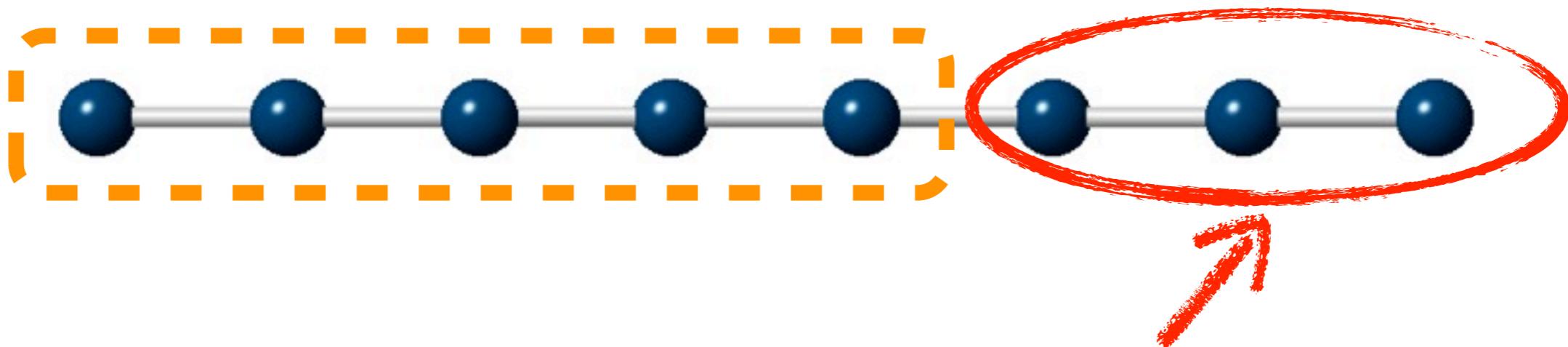
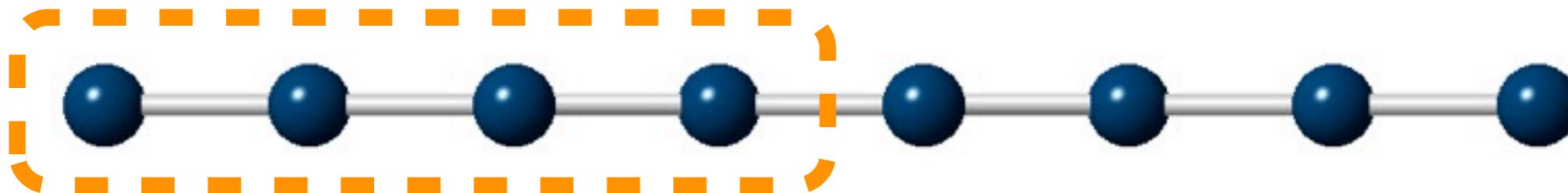


aka. infinite-size algorithm

# Now what?

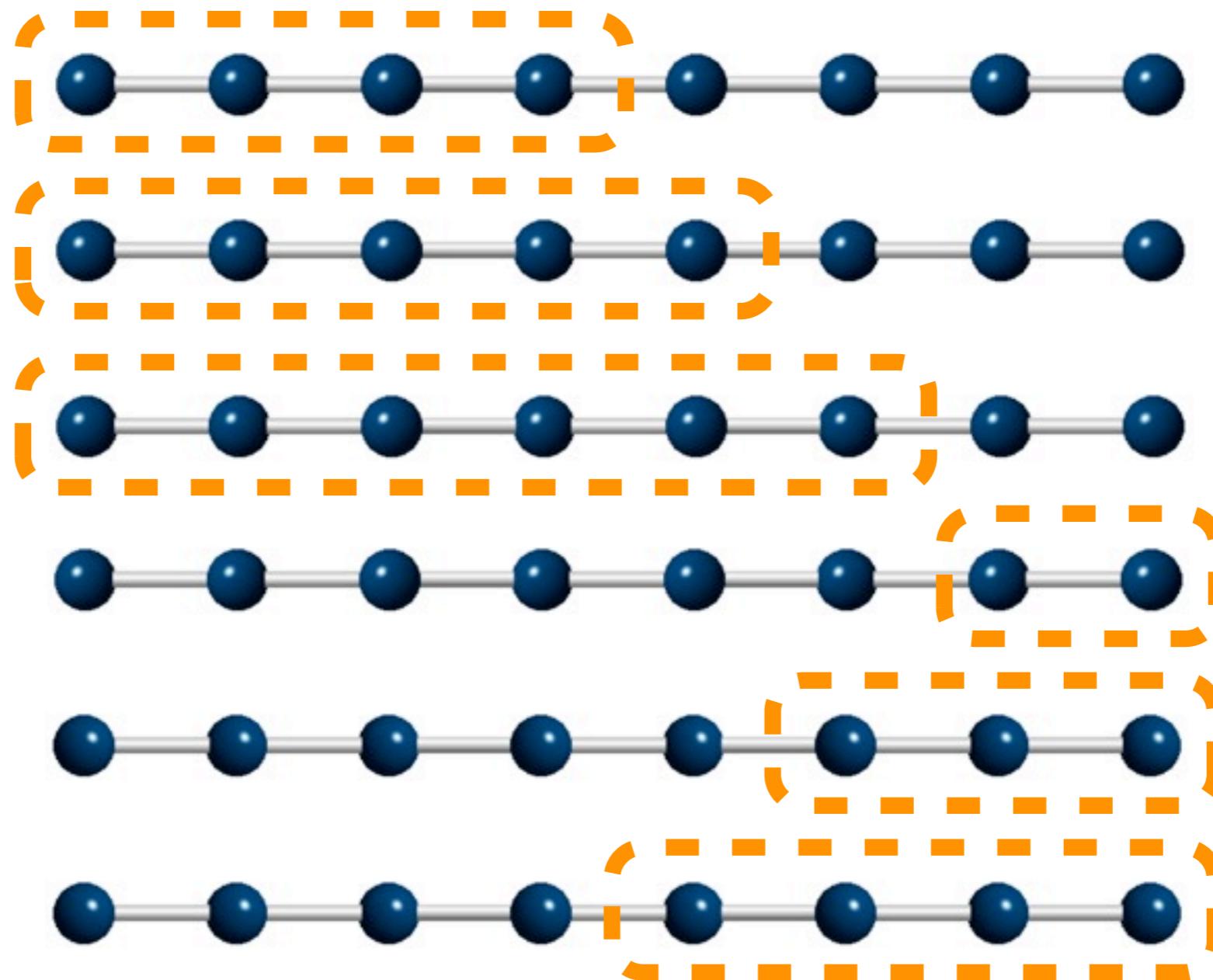


# Now what?



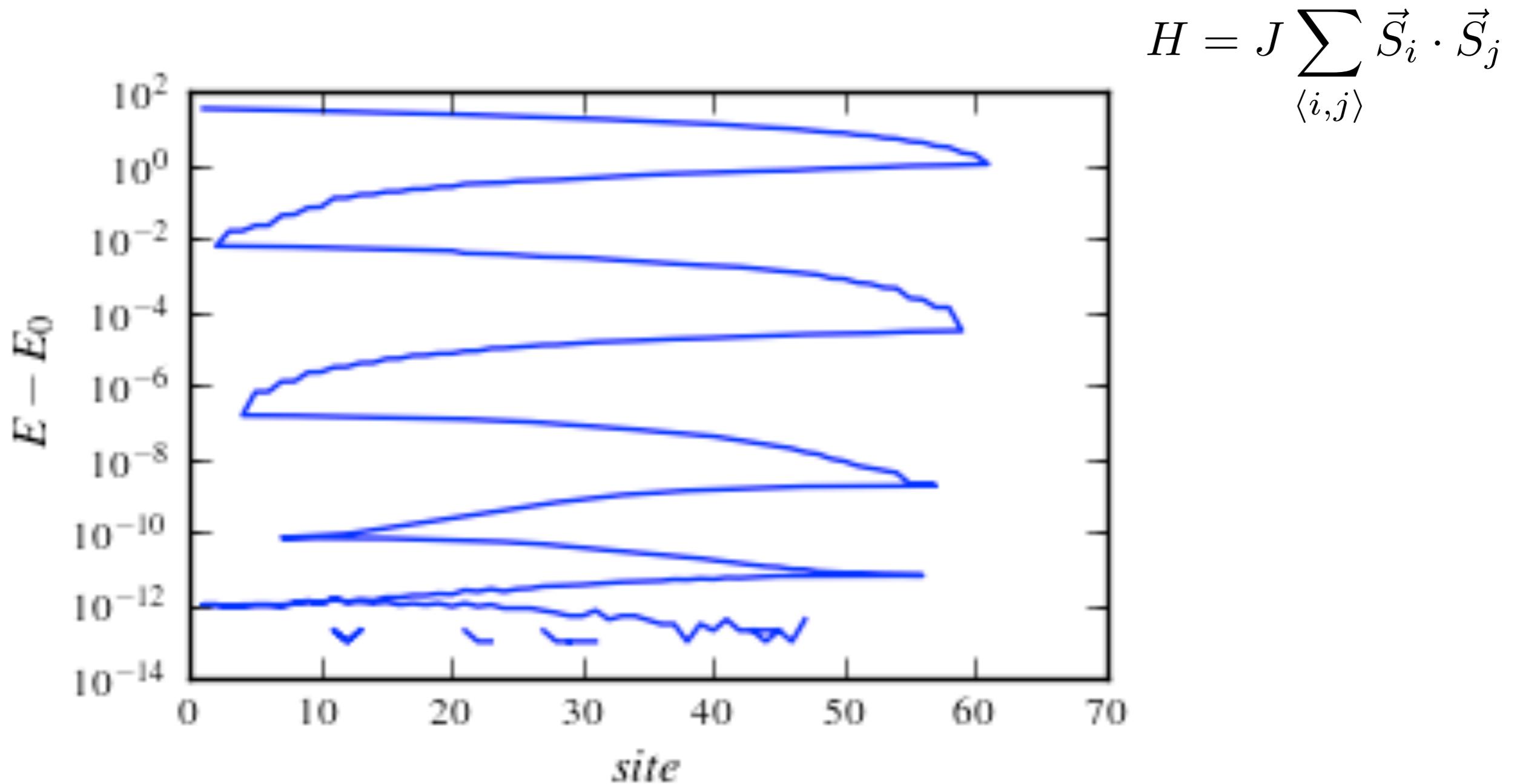
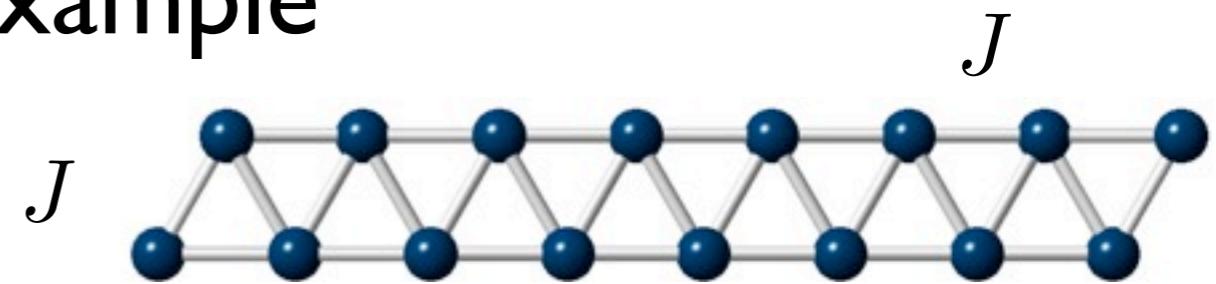
Use old operators here

First sweep to the left, then sweep to the right



aka. finite-size algorithm

# A real-life example



# Summary

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- 1D ground states are slightly entangled

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- reduced DM diagonalization gives a RG transformation

# Summary

- 1D ground states are slightly entangled
- reduced DM diagonalization gives a RG transformation
- DMRG iterative algorithm implementing that RG

## IV. Becoming a pro

# Optimizations

<http://boulder.research.yale.edu/Boulder-2010/Lectures/White/>

# Optimizations

- Use symmetries

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- Guess for Lanczos (aka wf transformation)

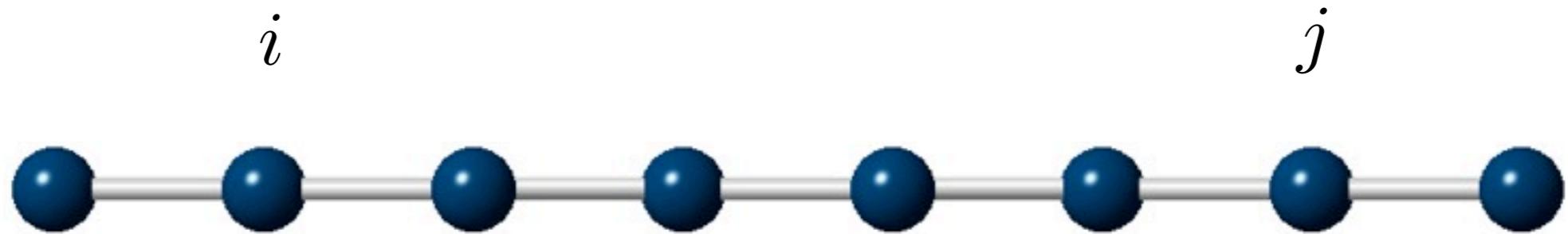
# Optimizations

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- Everything under  $m^3$

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- Use symmetries
- Guess for Lanczos (aka wf transformation)
- Everything under  $m^3$
- Aim for 95% in dgemm

# Measurements

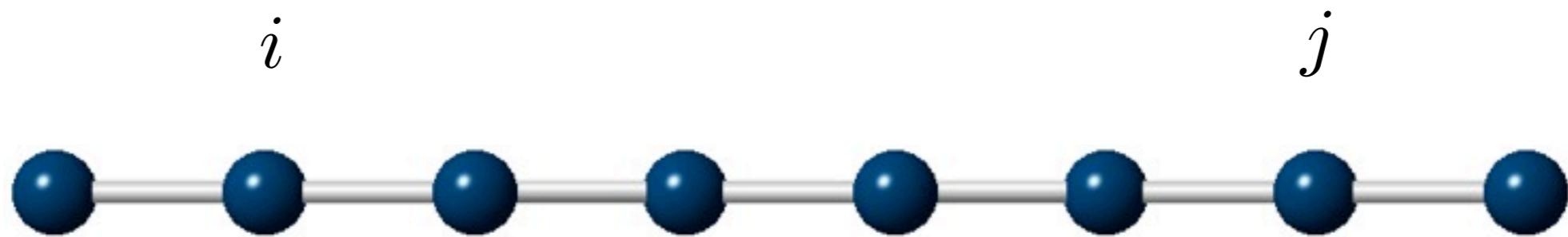


$$\langle \psi | S_i^z S_j^z | \psi \rangle \approx \langle \psi_{L/2}^m | \tilde{S}_i^z \tilde{S}_j^z | \psi_{L/2}^m \rangle$$

$$\tilde{S}_i^z = O(i, L/2) S_i^z O^t(i, L/2),$$

$$O(i, L/2) = U_{trunc}(i) U_{trunc}(i+1) \cdots U_{trunc}(L/2)$$

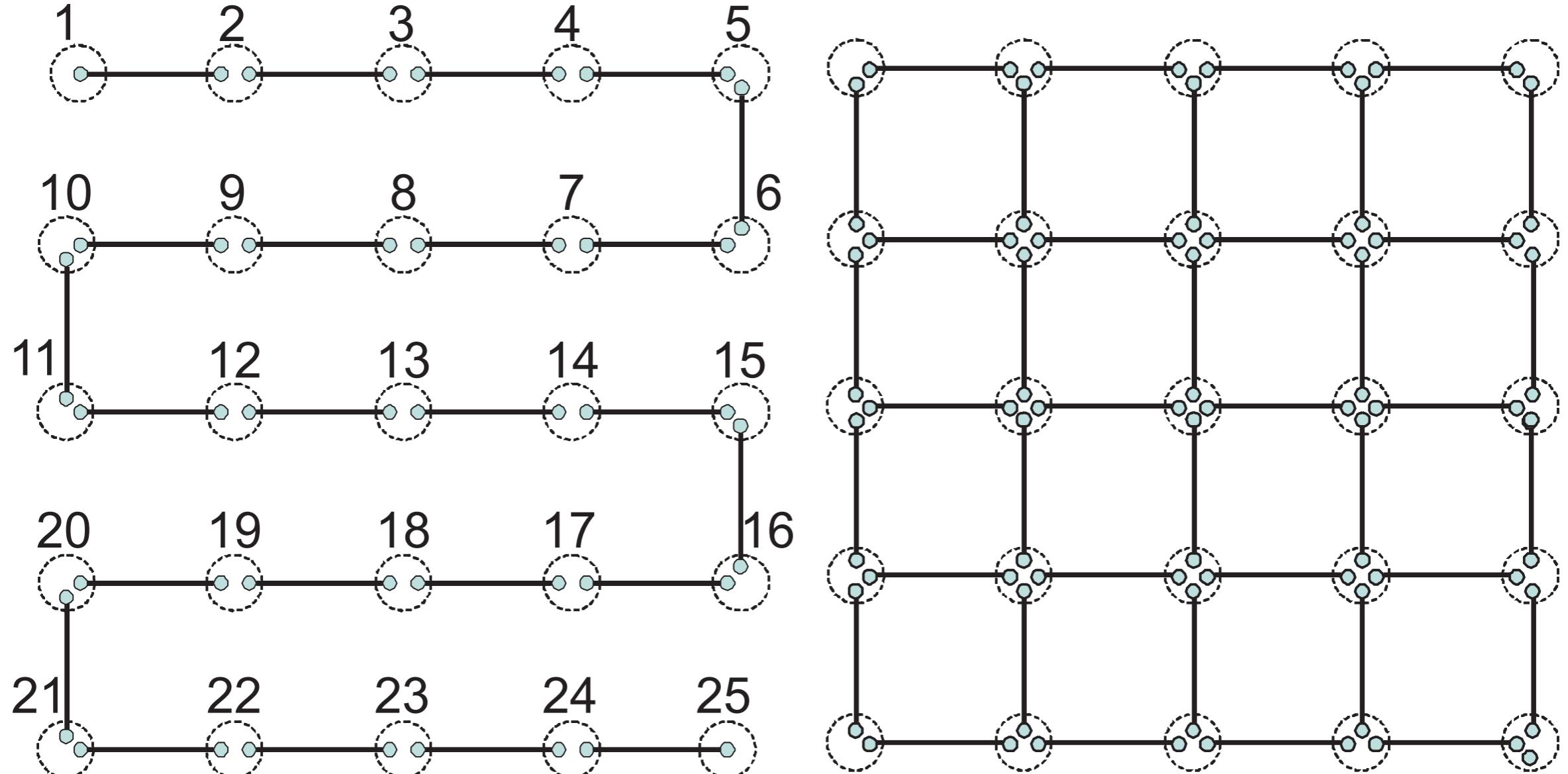
# Fermionic sign



$$c_i^\dagger c_j = \tilde{c}_i^\dagger s_{i+1} \cdots s_{j-1} \tilde{c}_j, \quad s_i = e^{i\pi n_i}$$

Jordan-Wigner transformation

# MPS, PEPS & stuff



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